

ELEMENTS OF LOGIC 2024/25

INTUITIVE SET THEORY

EL 2024/25

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OUTLINE

① SETS

② TAXONOMY OF BINARY RELATIONS

③ THE SIZE OF SETS

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- A set, itself, may be an element of some other set
- **Actually, for mathematical purposes no other elements need ever be considered!**

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TO SEE THAT $A = B$

check that

- for any $a \in A$, we have $a \in B$; and
- for any $b \in B$, we have $b \in A$

DEFINING SETS

DEFINITION BY EXTENSION

- $A = \{a_1, a_2, \dots, a_n\}$, for sets with finite number of elements
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EXERCISE 1

Define by comprehension the sets:

- $A = \{2, 4, 6, \dots\}$,
- $B = \{a\}$, and
- $C = \{\}$

SUBSETS OF A SET

DEFINITION 3 (SUBSET)

If every element of a set A is also an element of B , then we say that A is a **subset** of B , and write $A \subseteq B$. If A is not a subset of B we write $A \not\subseteq B$. If $A \subseteq B$ but $A \neq B$, we write $A \subsetneq B$ and say that A is a **proper subset** of B .

EXAMPLE 4

- $\{a, b\} \subseteq \{a, b\}$, and $\{a, b\} \subsetneq \{a, b, c\}$
- $\emptyset \subseteq A$, for any set A

HENCE:

$A = B$ iff $A \subseteq B$ and $B \subseteq A$.

EXERCISE 2

Prove that there is at most one empty set, i.e., show that if A and B are sets without elements, then $A = B$.

SOME WELL KNOWN SETS

$$\mathbb{N} = \{0, 1, 2, 3, \dots\}$$

the set of natural numbers

$$\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$$

the set of integers

$$\mathbb{Q} = \left\{ \frac{m}{n} \mid m, n \in \mathbb{Z} \text{ and } n \neq 0 \right\}$$

the set of rationals

$$\mathbb{R} = (-\infty, \infty)$$

the set of real numbers (the continuum)

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EXAMPLE 5

SOME WELL KNOWN SETS

FINITE STRINGS ON \mathbb{B}

$$\mathbb{B}^* = \{\epsilon, 0, 1, 00, 01, 10, 11, \\ 000, 001, 010, 011, 100, 101, 110, 111, 0000, \dots\}.$$

INFINITE STRINGS ON A SET \mathbb{B}

$$\mathbb{B}^\omega = \{b_1 b_2 \cdots \mid b_i \in \mathbb{B}, i \in \mathbb{N}\}$$

BASIC OPERATIONS ON SETS

If A is a set of sets, then $\bigcup A$ is the set of elements of elements of A :

$$\bigcup A = \{x \mid \text{there is a } B \in A \text{ so that } x \in B\}$$

$$\bigcap A = \{x \mid \text{for all } B \in A, x \in B\}$$

For a sequence of sets A_1, A_2, \dots

$$\bigcup_i A_i = \{x \mid x \text{ belongs to one of the } A_i\}$$

$$\bigcap_i A_i = \{x \mid x \text{ belongs to every } A_i\}.$$

For a property φ ,

$$\bigcup_{\varphi(x)} x = \bigcup \{x \mid \varphi(x)\}$$

$$\bigcap_{\varphi(x)} x = \bigcap \{x \mid \varphi(x)\}$$

BASIC OPERATIONS ON SETS

EXERCISE 3

Let us consider the well known operations of **binary union** of two sets A and B :

$$A \cup B = \{x \mid x \in A \vee x \in B\}$$

and of **binary intersection** of two sets A and B

$$A \cap B = \{x \mid x \in A \wedge x \in B\}$$

Show that they can be expressed by the union and intersection operators of a set.

EXERCISES

EXERCISE 4

Show that:

- ① if $A \subseteq B$, then $A \cup B = B$.
- ② if $A \subseteq B$, then $A \cap B = A$.
- ③ if $A \subsetneq B$, then $B \setminus A \neq \emptyset$.
- ④ if A is a set and $A \in B$, then $A \subseteq \bigcup B$.

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EXERCISE 5

Suppose $s = \{\{a, b\}, \{a, d, e\}, \{a, d\}\}$. Determine $\bigcup s$ and $\bigcap s$

EXERCISES

EXERCISE 6

$$\textcircled{1} \bigcup_{x \in A} x = \bigcup A$$

$$\textcircled{2} \bigcap_{x \in A} x = \bigcap A$$

$$\textcircled{3} \bigcup \{x\} = x$$

$$\textcircled{4} \bigcap \{x\} = x$$

$$\textcircled{5} \bigcup_{x \in \{s\}} x = s$$

$$\textcircled{6} \bigcap_{x \in \{s\}} x = s$$

$$\textcircled{7} \bigcup \emptyset = \emptyset$$

$$\textcircled{8} \bigcup_{x \in \emptyset} x = \emptyset$$

POWERSET OF A SET

DEFINITION 6 (POWER SET)

The set consisting of all subsets of a set A is called the **power set of** A , written $\mathcal{P}(A)$.

$$\mathcal{P}(A) = \{B \mid B \subseteq A\}$$

EXAMPLE 7

$$\mathcal{P}(\{a, b, c\})$$

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EXAMPLE 7

$$\mathcal{P}(\{a, b, c\}) = \{\emptyset, \{a\}, \{b\}, \{c\}, \{a, b\}, \{b, c\}, \{a, c\}, \{a, b, c\}\}$$

POWERSET OF A SET

EXERCISE 7

- ① Determine $\mathcal{P}(\{a, b, c, d\})$
- ② Determine $\mathcal{P}(\emptyset)$
- ③ Determine $\mathcal{P}(\{\emptyset\})$
- ④ Let X and Y be two sets. Prove or refute the following statements:
 - $X \subseteq Y$ iff $\mathcal{P}(X) \subseteq \mathcal{P}(Y)$
 - $\mathcal{P}(X) \cap \mathcal{P}(Y) = \mathcal{P}(X \cap Y)$
 - $\mathcal{P}(X) \cup \mathcal{P}(Y) = \mathcal{P}(X \cup Y)$
- ⑤ Show that if A has n elements, then $\mathcal{P}(A)$ has 2^n

ORDERED PAIRS AND CARTESIAN PRODUCTS

In sets the order on the enumeration of members is irrelevant:

$$\{x, y\} = \{y, x\}$$

However, we need also to lead with ordered pairs, where:

$$\text{if } x \neq y \text{ then } (x, y) \neq (y, x)$$

How should we deal with ordered pairs in set theory?

ORDERED PAIRS AND CARTESIAN PRODUCTS

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IDENTITY OF PAIRS

$$(a, b) = (c, d) \text{ iff } a = c \text{ and } b = d.$$

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DEFINITION 8 (ORDERED PAIR)

$$(a, b) = \{\{a\}, \{a, b\}\}$$

DEFINITION 9 (CARTESIAN PRODUCT)

Given sets A and B , their **Cartesian product** $A \times B$ is defined by

$$A \times B = \{(x, y) \mid x \in A \text{ and } y \in B\}.$$

ORDERED PAIRS AND CARTESIAN PRODUCTS

EXERCISE 8

① *Prove that*

- $(a, b) = (c, d)$ iff $a = c$ and $b = d$.
- $\bigcup(x, y) = \{x, y\}$ and $\bigcap(x, y) = \{x\}$

② *How can we deal with tuples (a_1, \dots, a_n) ?*

③ *Let A be a set. List the elements of the set $A \times \emptyset$*

④ *Consider the following recursive definition:*

$$A^1 = A$$

$$A^{k+1} = A^k \times A$$

List the elements of the set $\{1, 2, 3\}^3$

⑤ *Prove: “If A has n elements and B has m elements, then $A \times B$ has $n \cdot m$ elements”*

ORDERED PAIRS AND CARTESIAN PRODUCTS

EXERCISE 9

Consider the following operator:

$$\langle\langle a, b, c \rangle\rangle = \{\{a\}, \{a, b\}, \{a, b, c\}\}$$

Comment the statement:

$$\langle\langle a, b, c \rangle\rangle = \langle\langle x, y, z \rangle\rangle \text{ iff } a = x, b = y \text{ and } c = z$$

EXERCISE 10

Consider the following operator:

$$((a, b)) = \{\{b\}, \{a, b\}\}$$

Comment the statement:

$$((a, b)) = ((x, y)) \text{ iff } a = x \text{ and } b = y$$

SET THEORY IS A VERY SENSITIVE ISSUE...

Do all the properties φ define a set?

i.e. $\{x \mid \varphi(x)\}$ is a set, for any property φ ?

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Namely, it shall be able to answer the questions:

- What are sets?
- What sets exist?

ZERMELO-FRAENKEL (ZF) AXIOMATICS

EXTENSIONALITY

$$\forall x. \forall y. [x = y \leftrightarrow \forall z. (z \in x \leftrightarrow z \in y)]$$

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REPLACEMENT for $\varphi(x, y)$ such that $\forall x. \forall y. \forall z. [\varphi(x, y) \wedge \varphi(x, z) \rightarrow y = z]$,
we have

$$\forall z. \exists x. \forall y. [y \in x \leftrightarrow \exists u \in z. \varphi(u, y)]$$

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UNION

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INFINITE

$$\exists x. [\emptyset \in x \wedge \forall y \in x. (y \cup \{y\} \in x)]$$

REGULARITY

$$\forall x. [x \neq \emptyset \rightarrow \exists y \in x. (y \cap x = \emptyset)]$$

OBSERVATIONS ON ZF AXIOMATICS

- The **axiomatic theory of sets** is a complex issue usually object of a complete UC
- there are in the literature **other equivalent presentations of ZF axiomatics**
- it follows some observations about the introduced axioms

OBSERVATIONS ON ZF AXIOMATICS

AXIOM OF EXTENSIONALITY

Two sets are equal iff they have the same elements

$$\forall x. \forall y. [x = y \leftrightarrow \forall z. (z \in x \leftrightarrow z \in y)]$$

Obs: Hence, $A = B$ when for all x , $x \in A$ iff $x \in B$

OBSERVATIONS ON ZF AXIOMATICS

AXIOM OF REPLACEMENT

As a consequence of this axiom (with the other ones) we can get the **axiom of separation**:

For any property φ ,

$$\forall z. \exists x. \forall y. [y \in x \leftrightarrow y \in z \wedge \varphi(y)]$$

that is on the basis of **definitions by comprehension**

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EXERCISE 11

Show that this axiom assure the existence of

- *an empty set*
- *the intersection set of a set*
- *the difference set between two sets, i.e. the set $A \setminus B = \{a \in A \mid a \notin B\}$*

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$$\forall z. \exists x. [\forall y. (y \in x \leftrightarrow y \subseteq z)]$$

Obs: for any z , we have $x = \mathcal{P}(z) = \{y \mid y \subseteq z\}$

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there exists a set X having infinitely many members

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$$0 = \emptyset \tag{1}$$

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$$\vdots \tag{5}$$

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$$\vdots \tag{5}$$

$$\omega = \{\emptyset, \{\emptyset\}, \{\emptyset, \{\emptyset\}\}, \dots\}$$

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*Check that this axiom implies that there are not descending infinite chains as $\dots x_4 \in x_3 \in x_2 \in x_1$ – i.e. **the order \in is well founded***

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EXERCISE 13

*Check that this axiom implies that there is no a set x such that $x \in x$, i.e. **$\forall x. (x \notin x)$***

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EXERCISE 13

Check that this axiom implies that there is no a set x such that $x \in x$, i.e. $\forall x. (x \notin x)$ in particular $x = \{x\}$ is not a set!

OBSERVATIONS ON ZF AXIOMATICS

AXIOM OF REGULARITY

$$\forall x. [x \neq \emptyset \rightarrow \exists y \in x. (y \cap x = \emptyset)]$$

EXERCISE 12

Check that this axiom implies that there are not descending infinite chains as $\dots x_4 \in x_3 \in x_2 \in x_1$ – i.e. **the order \in is well founded**

EXERCISE 13

Check that this axiom implies that there is no a set x such that $x \in x$, i.e. $\forall x. (x \notin x)$ in particular $x = \{x\}$ is not a set!

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EXERCISE 14

Check that this axiom implies that there is no closed sequences $x_1 \in x_2 \in \dots \in x_1$

EXERCISES

EXERCISE 15

Check if the following sets are regular:

① $\{\emptyset, \{\emptyset\}, \{\{\emptyset\}\}, \dots\}$

② $\{a, \{a\}, \{\{a\}\}, \dots\}$

EXERCISES

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② $\{a, \{a\}, \{\{a\}\}, \dots\}$

EXERCISE 16

Given a set a let us consider the following construction:

• $a^0 = a$

• $a^{k+1} = a^k \cup \{a^k\}$

For a given $k \in \mathbb{N}$, check the regularity of:

① a^k

② \emptyset^k

EXERCISES

EXERCISE 17

Let A be a set. Then $A \cap \{A\} = \emptyset$.

EXERCISE 18

Consider the following operator:

$$[a, b] = \{a, \{a, b\}\}$$

Comment the statement:

$$[a, b] = [x, y] \text{ iff } a = x \text{ and } b = y$$

AXIOM OF CHOICE – AXIOMATIC ZFC

THE AXIOM OF CHOICE (ZERMELO 1904)

“Given any (non-empty set) whose elements are pairwise disjoint non-empty sets, there is a set which contains precisely one element from each set belonging to it”

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MORE FORMALLY:

$$\begin{aligned} \forall y \quad & (\forall u \in y \\ & (u \neq \emptyset \wedge (\forall v \in y (v \neq u \rightarrow (u \cap v = \emptyset))) \\ & \rightarrow \\ & (\exists z \forall u \in y \exists w (z \cap y = \{w\}))) \end{aligned}$$

AXIOM OF CHOICE

THE AXIOM OF CHOICE (ALTERNATIVE FORMALISATION)

“Given any (non-empty) set x whose elements are non-empty sets, there is a function f such that $f(a) \in a$, for each $a \in x$ ”

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FORMALLY:

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EXERCISE 19

Let $A = \{\{a\}, \{b\}, \{a, b\}\}$. Enumerate the choice functions for A .

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“Given any (non-empty) set x whose elements are disjoint non-empty sets, there is a function f such that $f(a) \in a$, for each $a \in x$ ”

- This function is called **choice function**
- What is more “controversial” in this axiom is the fact that we **do not know how to construct this function...**

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- for an infinite set of **pairs of shoes**,

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- for an infinite set of **pairs of shoes**, one can pick out the left shoe from each pair to obtain an appropriate set of shoes; **this makes it possible to define a choice function directly**

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ANALOGY OF BERTRAND RUSSELL

- for an infinite set of **pairs of shoes**, one can pick out the left shoe from each pair to obtain an appropriate set of shoes; **this makes it possible to define a choice function directly**
- For an infinite set of **pairs of socks**, there is no obvious way to make a function that forms a set out of **selecting one sock from each pair without invoking the axiom of choice**

AXIOM OF CHOICE

THIS IS A CONTROVERSIAL AXIOM

Apologists:

- Hilbert and Russel,... Poincaré (late)

Resistant:

- Borel, Lebesgue, ... Poincaré (ealier)

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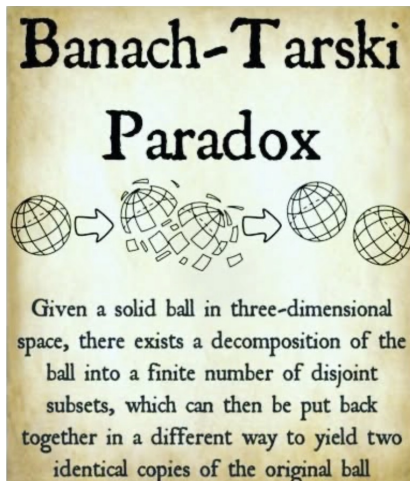
AXIOM OF CHOICE IS QUITE USEFUL

- Equivalent to the **Zorn Lemma**
- we use it to prove that any vectorial Space has a basis
- it is need to prove
 - **Compacity Theorem**
 - **Completeness Theorem for First-order Logic**

AXIOM OF CHOICE

HOWEVER, IT ENTAILS SOME “WEIRD” EFFECTS

- Assuming the Axiom of Choice we can prove:



OUTLINE

1 SETS

2 TAXONOMY OF BINARY RELATIONS

3 THE SIZE OF SETS

BINARY RELATIONS

DEFINITION 10 (BINARY RELATION)

A **binary relation** on a set A is a set

$$B \subseteq A \times A$$

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EXAMPLES

- ① $R = \{(n, m) \mid n, m \in \mathbb{N} \text{ and } n < m\}$
- ② $E = \{(n, m) \mid n > 5 \text{ or } m \times n \geq 34\}$
- ③ $S = \{(A, B) \mid A, B \subseteq U \text{ and } A \subseteq B\}$

BINARY RELATIONS

EXERCISE 20

List the elements of the relation \subseteq on the set $\mathcal{P}(\{a, b, c\})$.

PROPERTIES OF BINARY RELATIONS

DEFINITION 11

Let A be a set. A relation $R \subseteq A \times A$ is

- **reflexive**

PROPERTIES OF BINARY RELATIONS

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- **transitive**

PROPERTIES OF BINARY RELATIONS

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Let A be a set. A relation $R \subseteq A \times A$ is

- **reflexive** iff, for every $x \in A$, $(x, x) \in R$
- **transitive** iff, whenever $(x, y) \in R$ and $(y, z) \in R$, then also $(x, z) \in R$

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- **symmetric**

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- **anti-symmetric**

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- **anti-symmetric** iff, whenever both $(x, y) \in R$ and $(y, x) \in R$, then $x = y$
- **connected**

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- **irreflexive**

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- **connected** if for all $x, y \in A$, if $x \neq y$, then either $(x, y) \in R$ or $(y, x) \in R$
- **irreflexive** if, for all $x \in A$, not $(x, x) \in R$
- **asymmetric** if for no pair $x, y \in A$ we have both $(x, y) \in R$ and $(y, x) \in R$.

PROPERTIES OF BINARY RELATIONS

EXERCISE 21

Give examples of relations that are

- ① *reflexive and symmetric but not transitive*
- ② *reflexive and anti-symmetric,*
- ③ *anti-symmetric, transitive but not reflexive, and*
- ④ *reflexive, symmetric, and transitive.*

PROPERTIES OF BINARY RELATIONS

DEFINITION 12

A relation $R \subseteq A \times A$ is a

- **preorder** if it is both reflexive and transitive.
- **partial order** is a A preorder which is also anti-symmetric
- **linear order** is a partial order which is also connected
- **strict order** if it is irreflexive, asymmetric,
- **equivalence** if it is reflexive, symmetric and transitive

PROPERTIES OF BINARY RELATIONS

EXERCISE 22

Classify the following relations wrt the properties of Definition 12:

- *the identity relation $Id_A \subseteq A \times A$, i.e, the relation $Id_A = \{(a, a) \mid a \in A\}$*
- *the relation \subseteq on sets*
- *the relation \leq in integers*
- $\mathbb{B}^*: x \leq y$ *iff* $len(x) \leq len(y)$
- $n \mid m$ *iff* *there is some integer k so that $m = kn$*

EQUIVALENCE RELATIONS AND QUOTIENTS

DEFINITION 13

Let $R \subseteq A \times A$ be an equivalence relation. For each $x \in A$, the **equivalence class** of x in A is the set $[x]_R = \{y \in A \mid (x, y) \in R\}$. The *quotient* of A under R is $A/R = \{[x]_R \mid x \in A\}$, i.e., the set of these equivalence classes.

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PROPOSITION 2.1

If $R \subseteq A \times A$ is an equivalence relation, then $(x, y) \in R$ iff $[x]_R = [y]_R$.

PROOF.

Exercise! □

EQUIVALENCE RELATIONS

EXERCISE 23

For any a , b , and $n \in \mathbb{N}$, say that $a \equiv_n b$ iff dividing a by n gives the same remainder as dividing b by n .

Show that \equiv_n is an equivalence relation, for any $n \in \mathbb{Z}^+$, and that \mathbb{N}/\equiv_n has exactly n members.

THE ALGEBRA OF RELATIONS

DEFINITION 14

- Let A be a set. The **identity in A** is the relation $id_A \subseteq A \times A$ defined by

$$id_A = \{(a, a) \mid a \in A\}$$

- Let $R \subseteq A \times B$ a relation. The **converse of R** is the relation

$$R^\circ = \{(b, a) \mid (a, b) \in R\}$$

- Let $R \subseteq A \times B$ and $S \subseteq B \times C$ two relations. The **composition of R with S** is the relation $R \cdot S$ defined as follows

$$R \cdot S = \{(a, c) \mid \exists b \in B. (a, b) \in R \text{ and } (b, c) \in S\}$$

THE ALGEBRA OF RELATIONS

EXERCISE 24

Let $R \subseteq A \times B$ and $S \subseteq B \times C$ two relations. Show that:

- ① $R^\circ \subseteq S$ iff $R \subseteq S^\circ$
- ② $R \subseteq S$ iff $R^\circ \subseteq S^\circ$
- ③ $R^{\circ\circ} = R$
- ④ $(R \cap S)^\circ = R^\circ \cap S^\circ$
- ⑤ $(R \cdot S)^\circ = S^\circ \cdot R^\circ$

THE ALGEBRA OF RELATIONS

Notions of Definition 14 can be used to characterize properties on relations:

EXERCISE 25

Note that for any $R \subseteq A \times A$ is reflexive iff $id_A \subseteq R$.

- *Analogously, for any relation $R \subseteq A \times A$, characterize the notions of*
 - ① *transitivity,*
 - ② *symmetry,*
 - ③ *anti-symmetry,*
 - ④ *connectivity and*
 - ⑤ *irreflexivity*

THE ALGEBRA OF RELATIONS

SOME SPECIAL RELATIONS

A relation $R \subseteq A \times B$ is

- **Entire** if

$$id_A \subseteq R \cdot R^\circ$$

THE ALGEBRA OF RELATIONS

SOME SPECIAL RELATIONS

A relation $R \subseteq A \times B$ is

- **Entire** if

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- **Simple** if

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THE ALGEBRA OF RELATIONS

SOME SPECIAL RELATIONS

A relation $R \subseteq A \times B$ is

- **Entire** if

$$id_A \subseteq R \cdot R^\circ$$

- **Simple** if

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EXERCISE 26

*Using this definition, characterize the relations that are **functions**.*

THE ALGEBRA OF RELATIONS

EXERCISE 27 (MONOTONICITY)

Prove that for any relations R, S and T :

- $S \subseteq T$ implies that $S \cdot R \subseteq T \cdot R$
- $S \subseteq T$ implies that $R \cdot S \subseteq R \cdot T$

THE ALGEBRA OF RELATIONS

EXERCISE 27 (MONOTONICITY)

Prove that for any relations R, S and T :

- $S \subseteq T$ implies that $S \cdot R \subseteq T \cdot R$
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EXERCISE 28 (IDENTITY PRESERVATION)

Prove that for any relation $R \subseteq A \times B$,

- $R = id_A \cdot R = R \cdot id_B$

THE ALGEBRA OF RELATIONS

EXERCISE 27 (MONOTONICITY)

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EXERCISE 28 (IDENTITY PRESERVATION)

Prove that for any relation $R \subseteq A \times B$,

- $R = id_A \cdot R = R \cdot id_B$

EXERCISE 29 (GALOIS CONNECTION)

Let R, f and S binary relations. Prove that, if f is a function,

$$R \cdot f \subseteq S \text{ iff } R \subseteq S \cdot f^\circ$$

and

$$f^\circ \cdot R \subseteq S \text{ iff } R \subseteq f \cdot S$$

THE ALGEBRA OF RELATIONS

DEFINITION 15

Kernel and Images of a relation Let $R \subseteq A \times B$ a relation. The

- **Kernel of R** is the relation $\text{Ker}(R) \subseteq A \times A$ that relates the elements in A that share the same images under R

THE ALGEBRA OF RELATIONS

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- **Image of R** is the relation $\text{Img}(R) \subseteq B \times B$ relates the elements in B that are images of same point under R

THE ALGEBRA OF RELATIONS

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- **Image of R** is the relation $\text{Img}(R) \subseteq B \times B$ relates the elements in B that are images of same point under R , i.e.

$$\text{Img}(R) = R^\circ \cdot R$$

THE ALGEBRA OF RELATIONS

EXERCISE 30

Prove that

- $R \subseteq S \Rightarrow \text{Ker}(R) \subseteq \text{Ker}(S)$
- $R \subseteq S \Rightarrow \text{Img}(R) \subseteq \text{Img}(S)$

THE ALGEBRA OF RELATIONS

EXERCISE 31

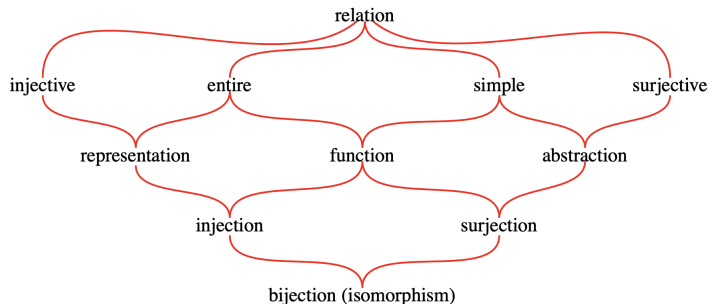
Using the Kernel and Image relations, characterize the relations that are

- *injective*
- *surjective*
- *entire and injective*
- *simple and surjective*
- *injective functions*
- *surjective functions*
- *bijections*

THE ALGEBRA OF RELATIONS

BINARY RELATION TAXONOMY ^a

^afrom *First Steps in Pointfree Functional Dependency Theory*. José Nuno Oliveira. 2005



EQUIVALENCE RELATIONS

EXERCISE 32

Prove or refute the following sentences:

- ① *The intersection of two equivalence relations is an equivalence relation*
- ② *The union of two equivalence relations is an equivalence relation*
- ③ *The composition of two equivalence relations is an equivalence relation*
- ④ *The converse of an equivalence relations is an equivalence relation*

OUTLINE

1 SETS

2 TAXONOMY OF BINARY RELATIONS

3 THE SIZE OF SETS

FINITE AND INFINITE SETS

DEFINITION 16 (FINITE AND INFINITE SETS)

A non-empty **set A is finite** if there is a positive integer n and a bijection from $\{0, \dots, n\}$ to A . Otherwise it is **infinite**.

The empty set is by convention taken to be finite.

EQUINUMEROUS SETS

DEFINITION 17

Equinumerous sets Two sets A and B are **equinumerous** if there is a bijection from A to B . We denote this by $A \sim B$.

EQUINUMEROUS SETS

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EXERCISE 33

For any sets A , B and C , show that:

- ① $A \sim A$.
- ② If $A \sim B$, then $B \sim A$.
- ③ If $A \sim B$ and $B \sim C$, then $A \sim C$.
- ④ if $A \sim C$ and $B \sim D$, and $A \cap B = C \cap D = \emptyset$, then $A \cup B \sim C \cup D$.

A LESS INTUITIVE OBSERVATION?

EXERCISE 34

Comment the following statement:

There exists sets A and B such that $A \sim B$ but $A \subsetneq B$.

A LESS INTUITIVE OBSERVATION?

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Comment the following statement:

There exists sets A and B such that $A \sim B$ but $A \subsetneq B$.

Supposing now that A and B are finite. What we can conclude?

A LESS INTUITIVE OBSERVATION?

EXERCISE 34

Comment the following statement:

There exists sets A and B such that $A \sim B$ but $A \subsetneq B$.

Supposing now that A and B are finite. What we can conclude?

EXERCISE 35

Show that

- $\mathbb{N} \sim 2\mathbb{N} = \{0, 2, 4, \dots\}$
- $\mathbb{R} \sim \mathbb{R}^+$
- $\mathbb{R} \times \mathbb{R} \sim \mathbb{C}$

SETS DOMINATION

DEFINITION 18

For sets A and B , A is dominated by B if there is an injection from A to B . We write $A \leq B$. A is strictly dominated by B if $A \leq B$ and A is not equinumerous with B .

SETS DOMINATION

DEFINITION 18

For sets A and B , A is dominated by B if there is an injection from A to B . We write $A \leq B$. A is strictly dominated by B if $A \leq B$ and A is not equinumerous with B .

EXERCISE 36

Show that

- ① If A is a finite set, then $A \leq \mathbb{N}$.
- ② For any sets A and B , if $A \sim B$, then $A \leq B$.
- ③ For any set A , $A \leq A$.
- ④ For any sets A and B , if $A \subseteq B$, then $A \leq B$.
- ⑤ If $A \leq B$ and $A \sim C$, then $C \leq B$.

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- ⑤ If $A \leq B$ and $A \sim C$, then $C \leq B$.

A is **smaller than** B , written $A < B$, iff there is an injection $f: A \rightarrow B$ but no a bijection $g: A \rightarrow B$, i.e., $A < B$ and $A \not\sim B$.

COUNTABLE SETS

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An **enumeration** of a set $A \neq \emptyset$ is a surjective function $f: \mathbb{N} \rightarrow A$.

DEFINITION 20

A set A is **countable** if either

- ① it is finite, or
- ② it is infinite and $\mathbb{N} \sim A$

COUNTABLE SETS

EXERCISE 37

Show that:

- ① *Any subset of \mathbb{N} is countable*
- ② *If A is countable and $A \sim B$, then B is countable*

COUNTABLE SETS

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THEOREM 21 (OTHER CHARACTERIZATIONS FOR COUNTABLE SETS)

- ① *A set A is countable iff there is an injection $f : A \rightarrow \mathbb{N}$ (i.e. $A \leq \mathbb{N}$)*
- ② *A non-empty set A is countable iff there is a surjection $f : \mathbb{N} \rightarrow A$*

EXAMPLES OF ENUMERATIONS

EXAMPLE 22

- A function enumerating the naturals is simply the identity function given by $f(n) = n$.

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- The functions $f: \mathbb{N} \rightarrow \mathbb{N}$ and $g: \mathbb{N} \rightarrow \mathbb{N}$ given by

$$f(n) = 2n \text{ and}$$

$$g(n) = 2n + 1$$

enumerate the even positive integers and the odd positive integers, respectively.

EXAMPLES OF ENUMERATIONS

A POSSIBLE ENUMERATION OF THE SET \mathbb{Z} IS DONE BY
the function

$$f(n) = (-1)^n \lceil \frac{n-1}{2} \rceil$$

(where $\lceil x \rceil$ rounds x up to the nearest integer):

$f(1)$	$f(2)$	$f(3)$	$f(4)$	$f(5)$	$f(6)$	$f(7)$	\dots
$-\lceil \frac{0}{2} \rceil$	$\lceil \frac{1}{2} \rceil$	$-\lceil \frac{2}{2} \rceil$	$\lceil \frac{3}{2} \rceil$	$-\lceil \frac{4}{2} \rceil$	$\lceil \frac{5}{2} \rceil$	$-\lceil \frac{6}{2} \rceil$	\dots
0	1	-1	2	-2	3	\dots	

EXERCISES

EXERCISE 38

Define an enumeration of the positive squares 1, 4, 9, 16, ...

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Show that if $B \subseteq A$ and A is countable, the set B is countable.

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Show that if A and B are countable, the set $A \cup B$ is countable.

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Show that if $B \subseteq A$ and A is countable, the set B is countable.

EXERCISE 41

Show by induction on n that if A_1, A_2, \dots, A_n are all countable, then the set $A_1 \cup \dots \cup A_n$ is countable.

CANTOR'S ZIG-ZAG METHOD

HOW TO ENUMERATE THE SET $\mathbb{N} \times \mathbb{N} = \{(n, m) \mid n, m \in \mathbb{N}\}$

	0	1	2	3	...
0	(0, 0)	(0, 1)	(0, 2)	(0, 3)	...
1	(1, 0)	(1, 1)	(1, 2)	(1, 3)	...
2	(2, 0)	(2, 1)	(2, 2)	(2, 3)	...
3	(3, 0)	(3, 1)	(3, 2)	(3, 3)	...
\vdots	\vdots	\vdots	\vdots	\vdots	\ddots

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⋮	⋮	⋮	⋮	⋮	⋮

CANTOR'S ZIG-ZAG METHOD

	0	1	2	3	4	...
0	0	1	3	6	10	...
1	2	4	7	11
2	5	8	12
3	9	13
4	14
⋮	⋮	⋮	⋮	⋮	...	⋮

CANTOR'S ZIG-ZAG METHOD

HENCE $\mathbb{N} \times \mathbb{N}$ IS ENUMERATED AS FOLLOWS:

$(0, 0), (0, 1), (1, 0), (0, 2), (1, 1), (2, 0), (0, 3), (1, 2), (2, 1), (3, 0), \dots$

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PROPOSITION 3.1

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It is easy to see that $f : \mathbb{N} \rightarrow \mathbb{N} \times \mathbb{N}$ given by the Cantor's table is surjective. \square

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PAIRING FUNCTION

Can we back?

$$g(n, m) = \frac{(n + m + 1)(n + m)}{2} + n$$

AN EXAMPLE OF AN UNCOUNTABLE SET

PROPOSITION 3.2

\mathbb{B}^ω , the set of infinite $\{0, 1\}$ -strings, is **uncountable**

- **Cantor's diagonal method:** Suppose, that \mathbb{B}^ω is enumerable, i.e., suppose that there is a list $s_1, s_2, s_3, s_4, \dots$ of all elements of \mathbb{B}^ω .

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	1	2	3	4	...
1	$s_1(1)$	$s_1(2)$	$s_1(3)$	$s_1(4)$...
2	$s_2(1)$	$s_2(2)$	$s_2(3)$	$s_2(4)$...
3	$s_3(1)$	$s_3(2)$	$s_3(3)$	$s_3(4)$...
4	$s_4(1)$	$s_4(2)$	$s_4(3)$	$s_4(4)$...
\vdots	\vdots	\vdots	\vdots	\vdots	\ddots

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4	$s_4(1)$	$s_4(2)$	$s_4(3)$	$s_4(4)$...
\vdots	\vdots	\vdots	\vdots	\vdots	\ddots

- but the sequence $\bar{s}(n) = \begin{cases} 1 & \text{if } s_n(n) = 0 \\ 0 & \text{if } s_n(n) = 1. \end{cases}$ is not on the list.

Contradiction!

COUNTABLE AND UNCOUNTABLE SETS

EXERCISE 42

Prove or refute the following sentence:

“(i) The set \mathbb{Q} is countable.

(ii) Moreover, it is also dense, i.e. for any $x, y \in \mathbb{Q}$ with $x < y$, there is a $z \in \mathbb{Q}$ such that $x < z$ and $z < y$ ”

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EXERCISE 43

Prove or refute the following sentence:

- “The set of reals in the interval $[0, 1)$ is uncountable”*

THE SIZE OF SETS

EXERCISE 44

Prove the Cantor Theorem:

For any set A , $A < \mathcal{P}(A)$.

EXERCISE 45

Show that $\mathcal{P}(\mathbb{N})$ is not countable.

THE SIZE OF SETS

THEOREM 23 (SCHRÖDER-BERNSTEIN)

If $A \leq B$ and $B \leq A$, then $A \sim B$.

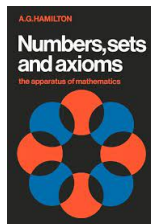
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SOME CONSEQUENCES:

- Let I be an interval in \mathbb{R} which is not empty and not a singleton. Then $I \sim \mathbb{R}$
- $\mathbb{R} \sim \mathcal{P}(\mathbb{N})$
- ...



ELEMENTS OF LOGIC 2024/25

INTUITIVE SET THEORY

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