# Management of Asymmetric keys

# Problems to solve

# Ensure proper and correct use of asymmetric key pairs

### **Privacy of private keys**

- To ensure authenticity
- To prevent the repudiation of digital signatures

### **Correct distribution of public keys**

- To ensure confidentiality
- To ensure the correct validation of digital signatures

# Problems to solve

# Temporal evolution of entity <-> key pair mappings

# To tackle catastrophic occurrences

e.g. loss of private keys

### To tackle normal exploitation requirements

e.g. refresh of key pairs for reducing impersonation risks

# Problems to solve

# Ensure a proper generation of key pairs

### Random generation of secret values

So that they cannot be easily predicted

# Increase efficiency without reducing security

- Make security mechanisms more useful
- Increase performance

# Goals

### 1. Key pair generation

When and how should they be generated

### 2. Handling of private keys

How do I maintain them private

### 3. Distribution of public keys

How are they correctly distributed worldwide

# 4. Lifetime of key pairs

- 1. When will they expire
- Until when should they be used
- How can I check the obsolesce of a key pair

# Generation of key pairs: Design principles

# Good random generators for producing secrets

# Result is indistinguishable from noise

- All values have equal probability
- No patterns resulting from the iteration number or previous values

### Example: Bernoulli ½ generator

- Memoryless generator
- $P(b=1) = P(b=0) = \frac{1}{2}$
- Coin toss

# Generation of key pairs: Design principles

# Facilitate without compromising security

# **Efficient public keys**

- Few bits, typically 2<sup>k</sup>+1 values (3, 17, 65537)
- Accelerates operations with public keys
- No security issues

# Generation of key pairs: Design principles

# Self-generation of private keys

### Maximizes privacy as no other party will be able to use a given private key

- Only the owner has the key
- Even better: The owner doesn't have the key, but may use the key

### Principle can be relaxed when not involving signature generation

Where there are not issues related with non repudiation

# Handling of private keys

# **Correctness**

# The private key represents a subject

- E.g. a citizen
- Its compromise must be minimized
- Physically secure backup copies can exist in some cases

# The access path to the private key must be controlled

- Access protection with password or PIN
- Correctness of applications that use it
- Authentication in the applications that allow to use it

# Handling of private keys

# Confinement

# Protection of the private key inside a (reduced) security domain (ex. cryptographic token)

- The token generates key pairs
- The token exports the public key but never the private key
- The token internally encrypts/decrypts with the private key

# **Example: SmartCards**

- We ask the SmartCard to cipher/decipher something
- The private key never leaves the SmartCard

# Distribution of public keys

### Distribution to all senders of confidential data

- Manual
- Using a shared secret
- Ad-hoc using digital certificates

# Distribution to all receivers of digital signatures

- Manual
- Ad-hoc using digital certificates

# Distribution of public keys

Problem: How to ensure the correctness of the public key?

# Trustworthy dissemination of public keys

Trust paths / graphs

# If A trusts $K_X^+$ , and B trusts A, then B trusts $K_X^+$

- Certification hierarchies / graphs
  - With the trust relations expressed between entities
  - Certification is unidirectional!

# Public key (digital) certificates

# Digital Document issued by a Certification Authority (CA)

### Binds a public key to an entity

Person, server or service

### Are public documents

- Do not contain private information, only public one
- Can have additional binding information (URL, Name, email, etc..)

### Are cryptographically secure

- Digitally signed by the issuer, cannot be changed
- Have a cryptographic fingerprint for fast validation

# Public key (digital) certificates

# Can be used to distribute public keys in a trustworthy way

#### A certificate receiver can validate it

- With the CA's public key
- Can also validate the identification
- Validate the validity
- Validate is the key is being properly used

#### A certificate receiver trusts the behavior of the CA

- Therefore will trust the documents they sign
- When a CA associates a certificate to A. If the receiver trusts the CA, it will trust that the association of A is correct

# Public key (digital) certificates

# X.509v3 standard

- Mandatory fields
  - Version
  - Subject
  - Public key
  - Dates (issuing, deadline)
  - Issuer
  - Signature
  - etc.
- Extensions
  - Critical or non-critical

### **PKCS #6**

Extended-Certificate Syntax
Standard

# **Binary formats**

- ASN.1 (Abstract Syntax Notation)
  - DER, CER, BER, etc.
- PKCS #7
  - Cryptographic Message Syntax Standard
- PKCS #12
  - Personal Information Exchange Syntax Standard

### Other formats

- PEM (Privacy Enhanced Mail)
- base64 encoding of X.509

# Key pair usage

### The public certificate binds the key pair to a usage profile

Public keys are seldom multi-purpose

### **Typical usage profiles**

- Authentication / key distribution
  - Digital signature, Key encipherment, Data encipherment, Key agreement
- Document signing
  - Digital signature, Non-repudiation
- Certificate issuing
  - Certificate signing, CRL signing

## Public key certificates have an extension for this

Key usage (critical)

# Certification Authorities (CA)

# Organizations that manage public key certificates

- Companies, not for profit organizations or governmental
- With the task of validating the relation between key and identity

# Define policies and mechanisms for:

- Issuing certificates
- Revoking certificates
- Distributing certificates
- Issuing and distributing the corresponding private keys

# Manage certificate revocation lists

- Lists of revoked certificates
- Programmatic interfaces to verify the current state of a certificate

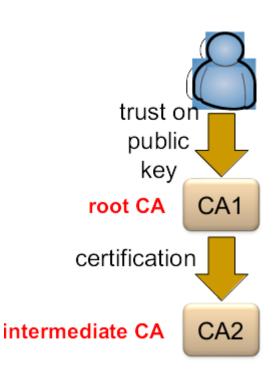
# Trusted Certification Authorities

# Intermediate CAs: CAs certified by other trusted CAs

- Using a certificate
- Enable the creation of certification hierarchies

# Trusted anchor (or certification root): One has a trusted public key

- Usually implemented by self-certified certificates
  - Issuer = Subject
- Manual distribution
  - e.g. within browsers code (Firefox, Chrome, etc.), OS, distribution...





#### This certificate has been verified for the following uses:

SSL Client Certificate

SSL Server Certificate

#### **Issued To**

Common Name (CN) www.ua.pt

Organization (O) Universidade de Aveiro

Organizational Unit (OU) sTIC

Serial Number 06:B4:17:0C:D7:EF:AC:9F:A3:79:9A:78:0E:7E:5A:8C

#### **Issued By**

Common Name (CN) TERENA SSL CA 3

Organization (O) TERENA

Organizational Unit (OU) < Not Part Of Certificate>

#### Period of Validity

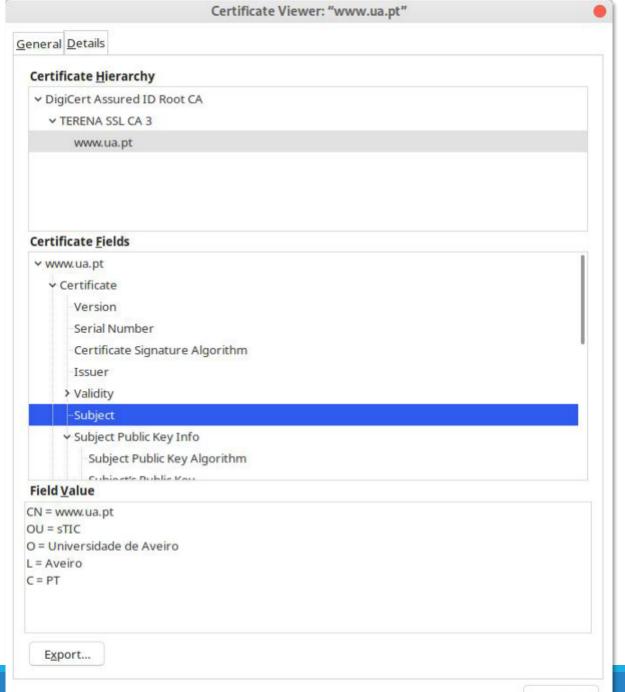
Begins On May 27, 2019 Expires On June 3, 2021

#### **Fingerprints**

SHA-256 Fingerprint 6C:BA:BD:A1:7E:A9:8D:EA:7B:18:22:44:EC:71:D5:41:4D:08:D

4:A6:FC:48:1B:3C:9B:05:EB:DA:69:A6:A5:EE

SHA1 Fingerprint 17:79:15:B5:0E:E0:34:51:2D:FA:DE:DF:77:1E:E1:0A:B3:4B:2F:2B





#### This certificate has been verified for the following uses:

SSL Certificate Authority

#### **Issued To**

Common Name (CN) TERENA SSL CA 3

Organization (O) TERENA

Organizational Unit

(OU)

<Not Part Of Certificate>

Serial Number 08:70:BC:C5:AF:3F:DB:95:9A:91:CB:6A:EE:EF:E4:65

#### **Issued By**

Common Name (CN) DigiCert Assured ID Root CA

Organization (O) DigiCert Inc

Organizational Unit

(OU)

www.digicert.com

#### **Period of Validity**

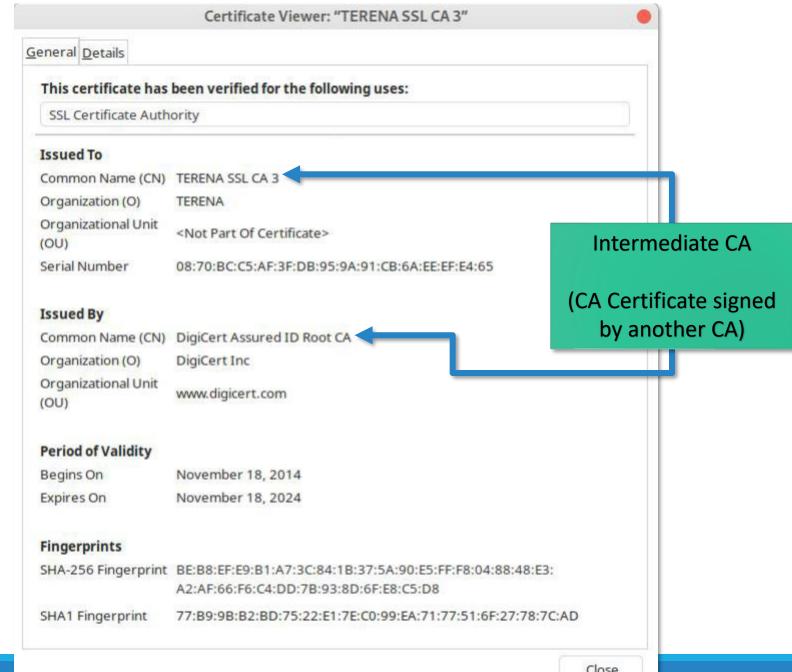
Begins On November 18, 2014 Expires On November 18, 2024

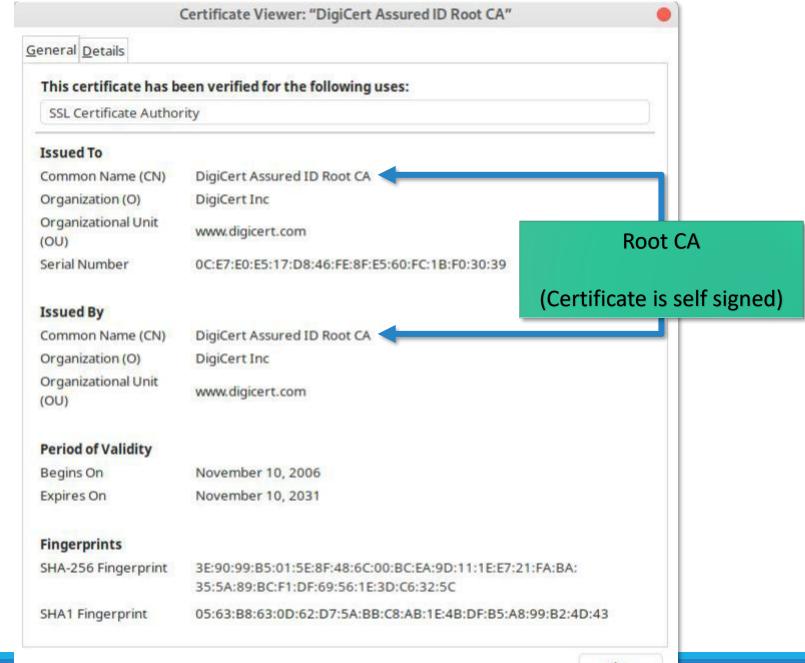
#### **Fingerprints**

SHA-256 Fingerprint BE:B8:EF:E9:B1:A7:3C:84:1B:37:5A:90:E5:FF:F8:04:88:48:E3:

A2:AF:66:F6:C4:DD:7B:93:8D:6F:E8:C5:D8

SHA1 Fingerprint 77:B9:9B:B2:BD:75:22:E1:7E:C0:99:EA:71:77:51:6F:27:78:7C:AD





# Certification hierarchies: PEM (Privacy Enhanced Mail) model

Distribution of certificates for Privacy-enhanced Electronic Mail

IETF Proposed Standard in 1993 (RFC1421-1423)

# Worldwide hierarchy (monopoly model)

- Single root IPRA (Internet Policy Registration Authority)
- Several PCA (Policy Creation Authorities) bellow the root
- Several CA below each PCA
  - Possibly belonging to organizations or companies
- Certification paths

# Certification hierarchies: PEM (Privacy Enhanced Mail) model

### Model was never actively deployed

Except for a small number of implementations (90s)

#### Forest of hierarchies below CAs without a root PCA

- Independent hierarchies with an independent root CA
- Oligarchy

# Each root CA negotiates the distribution of its public key along with some applications or operating systems

ex. Browsers, Operating Systems

# Certification hierarchies: PGP (Pretty Good Privacy) Model

#### Web of trust model

And not a forest model

### No central trustworthy authorities

- Each person is a potential certifier
- Anyone can certify a public key (issue a certificate) and publish the signature for others

# People uses two kinds of trust

- Trust in the keys they know
  - Validated using any means (FAX, telephone, direct meeting, etc.)
- Trust in the correct behavior of certifiers
  - Assuming they know what they are doing when issuing a certificate

# Certification hierarchies: PGP (Pretty Good Privacy) Model

#### **Transitive trust**

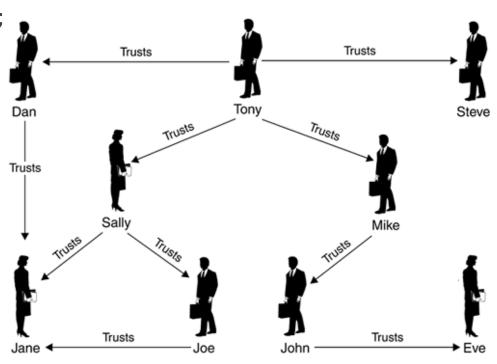
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Mike trusts John is a correct certifier; and

John certified the public key of Eve,

Then

Mike trusts Eve's public key



# PGP public key certificates: Validity vs. trust

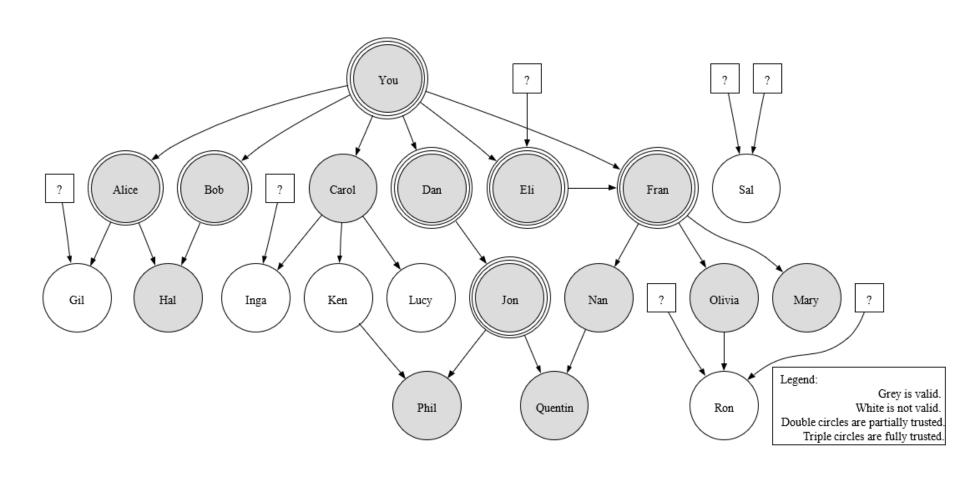
### Trust: How much one trusts the other person

- Trust is unidirectional, personal and subjective
- Levels:
  - Ultimate (our own keys, we have the private key)
  - Complete trust
  - Marginal trust
  - Notrust (or Untrusted)

# Validity: How much verification this key has (eg, A regarding user E)

- Valid: A completely trusts B, or marginally trusts C and D; D or B and C signed E key
- Marginally valid: A marginally trusts B and B signed E key
- Invalid: No path

# PGP public key certificates: Validity vs. trust



# Refreshing of asymmetric key pairs

### Key pairs should have a limited lifetime

- Because private keys can be lost or discovered
- To implement a regular update policy

#### **Problem**

- Certificates can be freely copied and distributed
- The universe of holders of certificates is unknown
  - Therefore we cannot contact them to eliminate specific certificates

#### **Solutions**

- Certificates with a validity period (not before, not after)
- Certificate revocation lists
  - To revoke certificates before expiring their validity

# Certificate revocation lists (CRL)

#### Base or delta

Complete / differences

Signed lists of certificate (identifiers) prematurely invalidated

- Must be regularly consulted by certificate holders
- OCSP protocol for single certificate validation
  - RFC 2560
- Can tell the revocation reason

#### **Publication and distribution of CRLs**

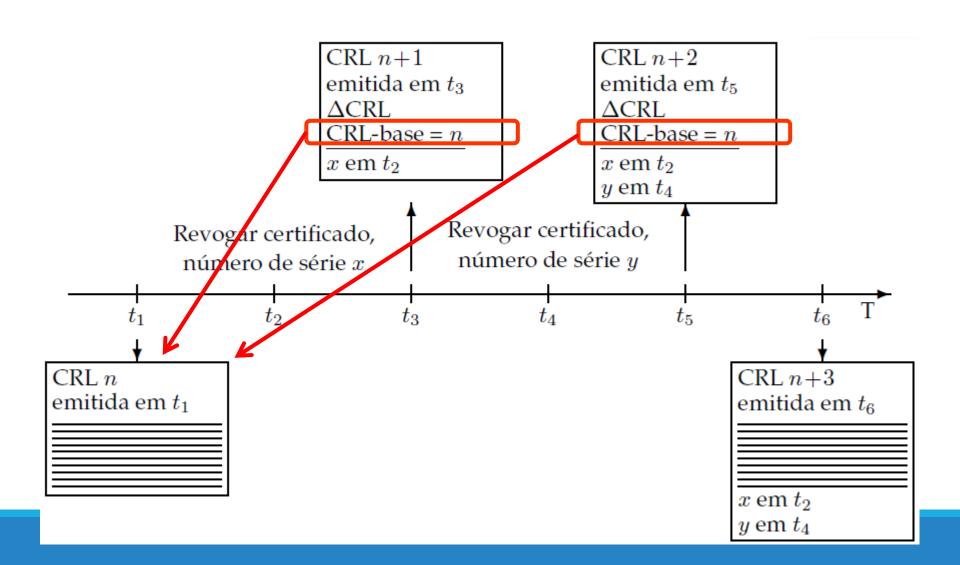
- Each CA keeps its CRL and allows public access to it
- CAs exchange CRLs to facilitate their widespreading

**RFC 3280** 

unspecified (0) keyCompromise (1) CACompromise (2) affiliationChanged (3) superseded (4) cessationOfOperation (5) certificateHold (6)

removeFromCRL (8) privilegeWithdrawn (9) AACompromise (10)

# CRL and Delta CRL



# Online Certificate Status Protocol

### HTTP based protocol to assert certificate status

- Request includes the certificate serial number
- Response states if the certificate is revoked
  - Response is signed by the CA and has a validity
- One check per certificate

### Request lower bandwidth to clients

One check per certificate instead of a bulk download of the CRL

### **Involves higher bandwidth to CAs**

- One check per certificate
- Privacy issues as the CA will know that a system is accessing a service

### **OCSP Stapling**

- Including a recently signed timestamp in the server response to assert validity
- Reduces verification delay and load on CA

# Distribution of public key certificates

### **Transparent (integrated with systems or applications)**

- Directory systems
  - Large scale (ex. X.500 through LDAP)
  - Organizational (ex. Windows 2000 Active Directory (AD), Manually (UA IDP))
- On-line: within protocols using certificates for peer authentication
  - eg. secure communication protocols (TLS, IPSec, etc.)
  - eg. digital signatures within MIME mail messages or within documents

# **Explicit (voluntarily triggered by users)**

- User request to a service for getting a required certificate
  - eg. request sent by e-mail
  - eg. access to a personal HTTP page

# PKI (Public Key Infrastructure) (1/2)

Infrastructure for enabling a proper use of asymmetric keys and public key certificates

# Creation of asymmetric key pairs for each enrolled entity

- Enrolment policies
- Key pair generation policies

# Creation and distribution of public key certificates

- Enrolment policies
- Definition of certificate attributes

# PKI (Public Key Infrastructure) (2/2)

### Definition and use of certification chains (or paths)

- Insertion in a certification hierarchy
- Certification of other CAs

# Update, publication and consultation of CRLs

- Policies for revoking certificates
- Online CRL distribution services
- Online OCSP services

Use of data structures and protocols enabling inter-operation among components / services / people

# PKI Example: Citizen Card

#### **Enrollment**

In loco, personal enrolment

### Multiple key pairs per person

- One for authentication
- One for signing data
- Both generated inside smartcard, not exportable
- Both require a PIN to be used in each operation

### **Certificate usage (authorized)**

- Authentication
  - SSL Client Certificate, Email (Netscape cert. type)
  - Signing, Key Agreement (key usage)
- Signature
  - Email (Netscape cert. type)
  - Non-repudiation (key usage)

#### **Certification path**

- Uses a well-known, widely distributed root certificate
  - GTE Cyber Trust Global Root
- PT root CA below GTE
- CC root CA below PT root CA
- CC Authentication CA and CC signature CA below CC root CA

#### **CRLs**

- Signature certificate revoked by default
  - Revocation is removed if the CC owner explicitly requires the usage of CC digital signatures
- All certificates are revoked upon a owner request
  - Requires a revocation PIN
- CRL distribution points explicitly mentioned in each certificate

# PKI Trust relationships

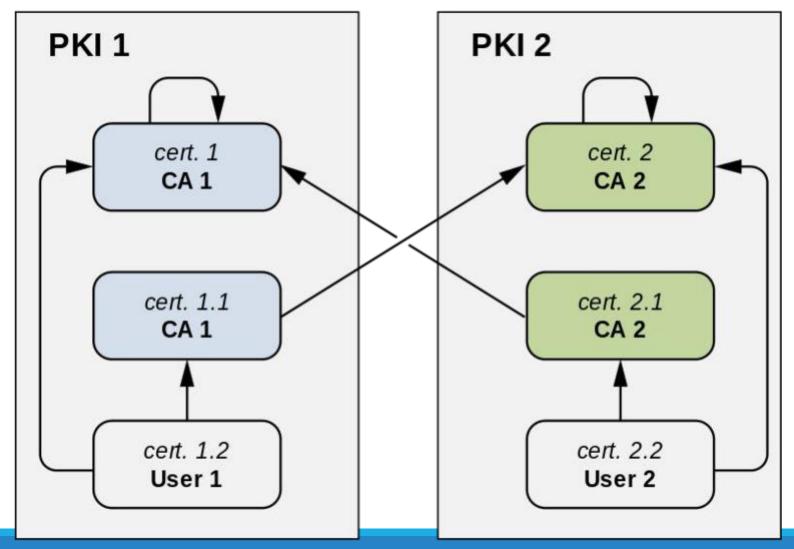
### A PKI defines trust relationships in two different ways

- By issuing certificates for the public key of other CAs
  - Hierarchically below; or
  - Not hierarchically related
- By requiring the certification of its root public key by another CA
  - Above in the hierarchy; or
  - Not hierarchically related

# Usual trust relationships

- Hierarchical
- Crossed (A certifies B and vice-versa)
- Ad-hoc (mesh)
  - More or less complex certification graphs

# PKI: Hierarchical and crossed certifications



# Certificate Pinning

# If attacker has access to trusted Root, it can impersonate every entity

- Manipulate a trusted CA into issuing certificate (unlikely)
- Inject custom CA certificates in the victim's database (likely)

# Certificate Pinning: add the fingerprint of the PubK to the source code

- Fingerprint is a hash (e.g. SHA256)
- Also store the URL to access

# Validation process:

- Certificate must be valid according to local rules
- Certificate must have a public they with the given fingerprint

# Certification Transparency (RFC 6962)

#### **Problems**

- CAs can be compromised (e.g., DigiNotar)
  - By malicious attackers
  - By governments, etc...
- Compromise is difficult to detect
  - Result in the change of assumptions associated to the behavior of the CA
  - Owner will selfdom know

# Definition: Global system records all public certificates created

- Ensure that only a single certificate has the correct roots
- Stores the entire certification chain of each certificate
- Presents this information for auditing
  - Organizations or ad-hoc by the end users