# A Point-free Perspective on Lax extensions and Predicate liftings

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Lax extensions of set functors play a key role in various areas including topology, concurrent systems, and modal logic, while predicate liftings provide a generic semantics of modal operators. We take a fresh look at the connection between lax extensions and predicate liftings from the point of view of quantale-enriched relations. Using this perspective, we show in particular that various fundamental concepts and results arise naturally and their proofs become very elementary. Ultimately, we prove that every lax extension is induced by a class of predicate liftings; we discuss several implications of this result.

#### 1 Introduction

A lax extension of a given Set-functor F acts on relations in a way that is (laxly) compatible on the one hand with the action of F on sets and maps, and on the other hand with the algebraic structure on relations, in particular composition. Lax extensions are a well-established tool in mathematics and computer science. For example, they are essential in the theory of monoidal topology to encode the type of a space (e.g. [Hofmann et al., 2014]); and in the theory of coalgebras, they encode the type of a simulation (e.g. [Hughes and Jacobs, 2004]) as well as the type of a cover modality, in the semantics of Moss-type coalgebraic logics (e.g. [Marti and Venema, 2015]).

A more expressive form of coalgebraic modal logic is based on the notion of predicate lifting, which allows capturing the standard syntax and semantics of many forms of modal logic found in the literature in a uniform fashion (e.g. [Cîrstea et al., 2011]). The connection between the two approaches to coalgebraic modal logic is governed by the connection between lax extensions and predicate liftings. Special predicate liftings, the so-called *Moss liftings*, can be extracted from the Barr extension [Leal, 2008; Kurz and Leal, 2009]. This principle has been extended to a large class of lax extensions [Marti and Venema, 2015], and further to the quantative setting [Wild and Schröder, 2020]. Conversely, lax extensions can be constructed from predicate liftings using the so-called *Kantorovich extension* [Wild and Schröder, 2020], even in quantalic generality [Wild and Schröder, 2021]. Finally, Moss liftings and the Kantorovich extension lead to a representation theorem (see Theorem 1.1 below) for specific "fuzzy" (i.e. [0, 1]-valued) lax extensions [Wild and Schröder, 2020], which is instrumental in deriving a quantitative Henessy-Milner-type theorem stating essentially that behavioural distance on coalgebraic systems coincides with logical distance under suitable bounding assumptions on the functor [König and Mika-Michalski, 2018; Wild and Schröder, 2022; Forster et al., 2023].

Although lax extensions apply to relations, the connection between lax extensions and predicate liftings has previously been expressed primarily in the language of functions. In contrast, the cornerstone of the present work is the principle that the connection between lax extensions and predicate liftings is best expressed in the language of relations. More specifically, we work in the language of quantale-enriched relations as a way of unifying the work developed in the classical setting and the emerging work in quantitative settings.

#### 1.1 Main contributions

The main contribution of this paper is to show that every quantale-valued lax extension of an arbitrary Set-functor is induced by its class of Moss liftings. This generalizes results in the literature that rely on specific properties of the unit interval and on cardinality constraints on Set-functors [Wild and Schröder, 2020, 2021; Marti and Venema, 2015]. By tackling this problem from the point of view of relations instead of functions, we obtain elegant proofs and new insights. For instance, we introduce the notion of predicate lifting for a lax extension which leads to a simple description of Moss lifting that goes beyond the realm of accessible functors and is independent of functor presentations, which feature centrally in previous approaches [Leal, 2008; Marti and Venema, 2015; Wild and Schröder, 2020].

The representation result obtained here explains the importance of the canonical extensions of generalized monotone neighborhood functors in the process of constructing quantale-valued lax extensions (in analogy to the two-valued case Marti and Venema [2015]); it is a stepping stone to connecting the coalgebraic approaches to behavioural distance via quantale-valued lax extensions and via liftings to categories of quantale-enriched categories, respectively [Goncharov et al., 2023], and similarly to connecting – in quantalic generality – the approaches to coalgebraic logics via lax extensions and via predicate liftings; and it helps pave the way to obtaining expressive (monotone) quantale-valued coalgebraic modal logics [Goncharov et al., 2023; Forster et al., 2023].

#### 1.2 Roadmap

After briefly reviewing the necessary background in Section 2, we show in Section 3 how to extract predicate liftings from a lax extension in a canonical way. This leads to the notion of predicate lifting of a lax extension, which generalizes the notion of Moss lifting. We characterize the predicate liftings of a lax extension as the ones that obey a Yoneda-type formula involving the lax extension and conclude that the Moss liftings correspond to special representable  $\mathcal{V}$ -functors.

In Sections 4 and 5, we revisit the Kantorovich extension [Wild and Schröder, 2020, 2021]. The main technical contributions of these sections are connected with one of the main results of Wild and Schröder [2020]:

**Theorem 1.1.** Every finitarily separable fuzzy lax extension of a Set-functor is induced by its set of Moss liftings.

A simpler version of this result states that every fuzzy lax extension of a *finitary* Set-functor is induced by its set of Moss liftings. Intuitively, a *finitarily separable* lax extension is a lax extension under which the functor can be approximated by its finitary part.

In this regard, we show in Section 4 that every lax extension is induced by its class of infinitary Moss liftings, and in Section 5 that the role of  $\lambda$ -accessibility is to ensure that it is sufficient to consider a set of Moss liftings of arity less than  $\lambda$ . Consequently, we obtain that every lax extension of an arbitrary functor is an initial lift of canonical extensions of generalized monotone neighbourhood functors (Corollary 4.19), a generalization of Theorem 1.1 above – whose proof was tied to the unit interval – to arbitrary (commutative) quantales (Corollary 5.14), as well as a generalization of the following key result [Marti and Venema, 2015] to arbitrary (commutative) quantales and functors (Corollary 4.21):

**Theorem 1.2.** A finitary Set-functor admits a lax extension to Rel that preserves identities if and only if it admits a separating set of monotone predicate liftings.

#### 2 Preliminaries

We briefly review the theory of quantale-enriched categories from the point of view of quantale-enriched relations, as well as lax extensions and predicate liftings, and establish some notation and basic results. We warn the reader that there are several approaches to these topics in the literature, often with particular notations and nomenclature; we follow the conventions of Hofmann et al. [2014].

### 2.1 Quantale-enriched relations and categories

A *quantale*, more precisely a commutative unital quantale, is a complete lattice  $\mathcal{V}$  that carries the structure of a commutative monoid  $(\mathcal{V}, \otimes, k)$  such that for every  $u \in \mathcal{V}$  the map  $u \otimes -: \mathcal{V} \to \mathcal{V}$  preserves suprema. Therefore, in a quantale every map  $u \otimes -: \mathcal{V} \to \mathcal{V}$  has a right adjoint  $hom(u, -): \mathcal{V} \to \mathcal{V}$ , which is characterized by

$$u \otimes v \leq w \iff v \leq \text{hom}(u, w),$$

for all  $v, w \in \mathcal{V}$ . A quantale is **non-trivial** if the least element  $\bot$  of  $\mathcal{V}$  does not coincide with the greatest element  $\top$ . Moreover, a quantale is **integral** if  $\top$  is the unit of the monoid operation  $\otimes$  of  $\mathcal{V}$ , which we refer to as tensor or multiplication.

Remark 2.1. In categorical parlance, a quantale is a commutative monoid in the monoidal category of complete lattices and suprema-preserving maps.

**Examples 2.2.** Quantales are common in mathematics and computer science.

- 1. Every frame becomes a quantale with  $\otimes = \wedge$  and  $k = \top$ .
- 2. Every left continuous t-norm [Alsina et al., 2006] defines a quantale on the unit interval equipped with its natural order. The following are some typical examples.
  - a) The product t-norm has tensor given by multiplication and

$$hom(u, v) = \begin{cases} \min(\frac{v}{u}, 1) & \text{if } u \neq 0, \\ 1 & \text{otherwise.} \end{cases}$$

Via the map  $[0, \infty] \to [0, 1], u \mapsto e^{-u}$ , this quantale is isomorphic to the quantale  $[0, \infty]$  of extended non-negative real numbers used by Lawvere [1973] to define (generalized) metric spaces.

b) The infimum t-norm has tensor given by infimum and

$$hom(u, v) = \begin{cases} 1 & \text{if } u \le v, \\ v & \text{otherwise.} \end{cases}$$

c) The Łukasiewicz t-norm has tensor given by  $u \otimes v = \max(0, u+v-1)$  and  $\hom(u,v) = \min(1, 1-u+v)$ . This quantale is isomorphic to the quantale that is used implicitly in the usual treatment of 1-bounded metric spaces (e.g. [Wild and Schröder, 2020]). More concretly, this quantale is isomorphic to the quantale based on the unit interval equipped with the dual of the natural order and with tensor given by truncated addition,  $u \oplus v = \min(u+v,1)$ ; the map  $[0,1] \to [0,1]$ :  $u \mapsto 1-u$  provides an isomorphism.

3. Every commutative monoid  $(M, \cdot, e)$  generates a quantale structure on  $(PM, \bigcup)$ , the free quantale on M. The tensor  $\otimes$  on PM is defined by

$$A \otimes B = \{a \cdot b \mid a \in A \text{ and } b \in B\},\$$

for all  $A, B \subseteq M$ . The unit of this multiplication is the set  $\{e\}$ .

For a quantale  $\mathcal{V}$  and sets X, Y, a  $\mathcal{V}$ -relation – an enriched relation – from X to Y is a map  $X \times Y \to \mathcal{V}$ ; we let  $X \to Y$  denote the space of such maps, and in particular write  $r \colon X \to Y$  to indicate that r is a  $\mathcal{V}$ -relation from X to Y. As for ordinary relations,  $\mathcal{V}$ -relations can be composed via "matrix multiplication". That is, for  $r \colon X \to Y$  and  $s \colon Y \to Z$ , the composite  $s \cdot r \colon X \to Z$  is calculated pointwise by

$$(s \cdot r)(x, z) = \bigvee_{y \in Y} r(x, y) \otimes s(y, z),$$

for  $x \in X$ ,  $z \in Z$ . The collection of all sets and  $\mathcal{V}$ -relations between them forms the category  $\mathcal{V}$ -Rel. For each set X, the identity morphism on X is the  $\mathcal{V}$ -relation  $1_X \colon X \to X$  that sends every diagonal element to k and all the others to  $\bot$ .

**Examples 2.3.** The category of relations enriched in the two-element frame is the usual category Rel of sets and relations. Relations enriched in left continuous t-norms are often called fuzzy or quantitative relations.

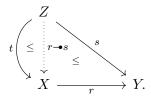
We can compare  $\mathcal{V}$ -relations of type  $X \to Y$  using the **pointwise order**,

$$r \le s \iff \forall (x,y) \in X \times Y, r(x,y) \le s(x,y).$$

Every hom-set of  $\mathcal{V}$ -Rel becomes a complete lattice when equipped with this order, and an easy calculation shows that  $\mathcal{V}$ -relational composition preserves suprema in each variable. Therefore,  $\mathcal{V}$ -Rel is a quantaloid and enjoys pleasant properties inherited from  $\mathcal{V}$ . In particular, precomposition and poscomposition with a  $\mathcal{V}$ -relation  $r\colon X\to Y$  define maps with right adjoints that compute Kan lifts and extensions, respectively. The *lift of a*  $\mathcal{V}$ -relation  $s\colon Z\to Y$  along  $r\colon X\to Y$  is the  $\mathcal{V}$ -relation  $r\multimap s\colon Z\to X$  defined by the property

$$r \cdot t < s \iff t < r - s$$

for every  $t: Z \rightarrow X$ ,



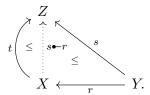
We can compute lifts explicitly as

$$(r - s)(z, x) = \bigwedge_{y \in Y} \text{hom}(r(x, y), s(z, y)).$$

Dually, the *extension of a* V-relation  $s: Y \to Z$  along  $r: Y \to X$  is the V-relation  $s \leftarrow r: Y \to Z$  defined by the property

$$t \cdot r \le s \iff t \le s - r$$

for every  $t: Y \to Z$ ,



Elementwise, we obtain

$$(s - r)(x, z) = \bigwedge_{y \in Y} \hom(r(y, x), s(y, z)).$$

Being a quantaloid,  $\mathcal{V}$ -Rel is a  $\mathcal{Z}$ -category and, therefore, it makes sense to talk about adjoint  $\mathcal{V}$ -relations; as usual,  $l\colon X \to Y$  is left adjoint to  $r\colon Y \to X$ , written  $l\dashv r$ , if  $l\cdot r \leq 1_Y$  and  $1_X \leq r \cdot l$ .

**Proposition 2.4.** Consider V-relations  $p: W \to Y$ ,  $q: V \to Y$ ,  $r, r': X \to Y$  and  $s: Y \to Z$ .

- 1.  $r \le r' \implies q r \le q r'$ .
- $2. r \le r' \implies r' q \le r q.$
- 3.  $(p \multimap q) \cdot (q \multimap r) \leq p \multimap r$
- 4.  $q \rightarrow r \leq (s \cdot q) \rightarrow (s \cdot r)$ .

*Proof.* All claims follow straightforwardly from the universal properties of lifts and extensions.

If  $\mathcal{V}$  is non-trivial, we can see  $\mathcal{V}$ -Rel as an extension of Set through the faithful functor  $(-)_{\circ}$ : Set  $\to \mathcal{V}$ -Rel that acts as identity on objects and interprets a function  $f: X \to Y$  as the  $\mathcal{V}$ -relation  $f_{\circ}: X \to Y$  that sends every element of the graph of f to k and all the others to  $\bot$ . To avoid unnecessary use of subscripts usually we write f instead of  $f_{\circ}$ .

The canonical isomorphism  $X \times Y \simeq Y \times X$  in Set induces a contravariant involution in  $\mathcal{V}$ -Rel

$$(-)^{\circ} \colon \mathcal{V}\text{-Rel}^{\mathrm{op}} \longrightarrow \mathcal{V}\text{-Rel}$$

that maps objects identically and sends a  $\mathcal{V}$ -relation  $r \colon X \to Y$  to the  $\mathcal{V}$ -relation  $r^{\circ} \colon Y \to X$  defined by  $r^{\circ}(y,x) = r(x,y)$ , the **converse** of r.

Remark 2.5. The converse of a function  $f: X \to Y$  yields an adjunction  $f \dashv f^{\circ}$  in  $\mathcal{V}$ -Rel (and this property of functions distinguishes them among  $\mathcal{V}$ -relations precisely when the quantale  $\mathcal{V}$  is integral and lean [Hofmann et al., 2014, Proposition III.1.2.1]). For every set Z, this adjunction extends to the following ones:

- 1.  $f \cdot (-) \dashv f^{\circ} \cdot (-) : \mathcal{V}\text{-Rel}(Z, X) \to \mathcal{V}\text{-Rel}(Z, Y);$
- 2.  $(-) \cdot f^{\circ} \dashv (-) \cdot f : \mathcal{V}\text{-Rel}(X, Z) \to \mathcal{V}\text{-Rel}(Y, Z)$ .

The next results collect some useful facts about the interplay between extensions, functions, relations, and involution.

**Proposition 2.6.** Let  $r: X \to Z$  and  $g: Y \to Z$  be V-relations, and let  $f: A \to X$  and  $g: B \to Y$  be functions. Then the following holds.

1. 
$$(s - r)^{\circ} = r^{\circ} - s^{\circ}$$
:

2. 
$$g^{\circ} \cdot (s - r) = (s \cdot g) - r;$$

3. 
$$(s \rightarrow r) \cdot f = s \rightarrow (r \cdot f)$$
.

*Proof.* All claims are consequences of the uniqueness of adjoints. For example, to show 2 it is sufficient to observe that from Remark 2.5, it follows that both  $(s \cdot g) -$ and  $g^{\circ} \cdot (s - )$  are right adjoint to  $(s \cdot g) \cdot -$ .

**Proposition 2.7.** Let  $r: X \to A$  and  $s: Y \to A$  be V-relations. Then

$$s - r = \bigwedge_{a \in A} (a^{\circ} \cdot s) - (a^{\circ} \cdot r),$$

where  $a^{\circ}$  denotes the converse of the map  $a: 1 \to A$  that selects the element a.

**Corollary 2.8.** Let  $r: X \to Y$ ,  $s: Y \to Y$  be V-relations, and  $y: 1 \to Y$  a function. Then

$$y^{\circ} \cdot (s - r) = y^{\circ} \cdot (y^{\circ} \cdot s) - (y^{\circ} \cdot r).$$

**Proposition 2.9.** Let  $r: X \to Y$  and  $s: A \to B$  be V-relations, and let  $f: X \to A$  and  $g: Y \to B$  be functions such that  $g \cdot r \leq s \cdot f$ . Then,  $r \cdot f^{\circ} \leq g^{\circ} \cdot s$ .

Proof. 
$$r \cdot f^{\circ} \leq g^{\circ} \cdot g \cdot r \cdot f^{\circ} \leq g^{\circ} \cdot s \cdot f \cdot f^{\circ} \leq g^{\circ} \cdot s$$
.

Category theory underlines preordered sets as the fundamental ordered structures. For an arbitrary quantale  $\mathcal{V}$ , the same role is taken by  $\mathcal{V}$ -categories. Analogously to the classical case, we say that a  $\mathcal{V}$ -relation  $r\colon X \to X$  is **reflexive** if  $1_X \leq r$ , and **transitive** if  $r \cdot r \leq r$ . A  $\mathcal{V}$ -category is a pair (X,a) consisting of a set X of objects and a reflexive and transitive  $\mathcal{V}$ -relation  $a\colon X \to X$ ; a  $\mathcal{V}$ -functor  $(X,a) \to (Y,b)$  is map  $f\colon X \to Y$  such that  $f\cdot a \leq b\cdot f$ . Clearly,  $\mathcal{V}$ -categories and  $\mathcal{V}$ -functors define a category, denoted as  $\mathcal{V}$ -Cat.

Remark 2.10. As the nomenclature suggests, the notions of  $\mathcal{V}$ -category and  $\mathcal{V}$ -functor come from enriched category theory (e.g. [Kelly, 1982; Lawvere, 1973; Stubbe, 2014]). In fact, unravelling the definition of reflexive and transitive  $\mathcal{V}$ -relation yields the typical definition of quantale-enriched category: a pair (X, a) consisting of a set X and a map  $a: X \times X \to \mathcal{V}$  that satisfies the inequalities

$$k < a(x, x)$$
 and  $a(x, y) \otimes a(y, z) < a(x, z)$ 

for all  $x, y, z \in X$ . Similarly, a  $\mathcal{V}$ -functor  $f: (X, a) \to (Y, b)$  is a map  $f: X \to Y$  such that, for all  $x, y \in X$ ,

$$a(x,y) \le b(f(x), f(y)).$$

**Examples 2.11.** The following are some familiar examples of quantale-enriched categories.

- The category 2-Cat is equivalent to the category Ord of preordered sets and monotone maps.
- 2. Metric, ultrametric and bounded metric spaces à la Lawvere [1973] can be seen as categories enriched in left continuous t-norms:
  - a) With multiplication \*,  $[0,1]_*$ -Cat is equivalent to the category Met of (generalized) *metric spaces* and non-expansive maps.
  - b) With infimum  $\wedge$ ,  $[0,1]_{\wedge}$ -Cat is equivalent to the category UMet of (generalized) *ultrametric spaces* and non-expansive maps.
  - c) With the *Łukasiewicz tensor*  $\odot$ ,  $[0,1]_{\odot}$ -Cat is equivalent to the category BMet of (generalized) *bounded-by-1 metric spaces* and non-expansive maps.

3. Categories enriched in a free quantale PM on a monoid M (such as  $M = \Sigma^*$  for some alphabet  $\Sigma$ ) can be interpreted as labelled transition systems with labels in M: in a PM-category (X, a), the objects represent the states of the system, and we can read  $m \in a(x, y)$  as an m-labelled transition from x to y.

**Definition 2.12.** The *dual* of a  $\mathcal{V}$ -category (X, a) is the  $\mathcal{V}$ -category  $(X, a)^{\text{op}} = (X, a^{\circ})$ .

Remark 2.13. The quantale  $\mathcal{V}$  becomes a  $\mathcal{V}$ -category under the canonical structure hom:  $\mathcal{V} \times \mathcal{V} \to \mathcal{V}$ . In fact, for every set S, we can form the S-power  $\mathcal{V}^S$  of  $\mathcal{V}$  which has as underlying set all functions  $h: S \to \mathcal{V}$  and  $\mathcal{V}$ -category structure [-, -] given by

$$[h, l] = \bigwedge_{s \in S} \hom(h(s), l(s)),$$

for all  $h, l: S \to \mathcal{V}$ . For instance, for the quantale  $([0, 1], \oplus, 0)$ , where [0, 1] is equipped with the dual of the natural ordering (Example 2.2(2c)), this distance on [0, 1]-Rel $(X, Y) = [0, 1]^{X \times Y}$  is given in terms of the *natural* order on [0, 1] by

$$[r, s] = \sup \{ \max(s(x, y) - r(x, y), 0) \mid (x, y) \in X \times Y \}.$$

Every V-category (X, a) carries a natural order defined by

$$x \le y$$
 if  $k \le a(x, y)$ ,

which induces a faithful functor  $\mathcal{V}\text{-Cat} \to \mathsf{Ord}$ . A  $\mathcal{V}\text{-category}(X,a)$  is **separated** if

$$(k \le a(x,y) \quad \& \quad k \le a(y,x)) \implies x = y$$

for all  $x, y \in X$ . That is, (X, a) is separated if the natural order defined above is anti-symmetric.

Remark 2.14. The natural order of the  $\mathcal{V}$ -category  $\mathcal{V}$  is just the order of the quantale  $\mathcal{V}$ . The natural order of  $\mathcal{V}^S$  is calculated pointwise, and as such is complete. Furthermore, the  $\mathcal{V}$ -category  $\mathcal{V}^S$  is complete in the sense of enriched category theory; in particular,  $\mathcal{V}^S$  has tensors, which are given by  $(u \otimes h)(s) = u \otimes h(s)$ , for  $u \in \mathcal{V}$  and  $h \in \mathcal{V}^S$ . Tensors are compatible with composition, that is,  $(u \otimes f) \cdot g = u \otimes (f \cdot g)$  for all  $u \in \mathcal{V}$ ,  $f \colon S \to \mathcal{V}$ , and  $g \colon S' \to S$ . Here we recall that a  $\mathcal{V}$ -category (X, a) is tensored if, for every  $x \in X$ , the  $\mathcal{V}$ -functor  $a(x, -) \colon X \to \mathcal{V}$  has a left adjoint  $-\otimes x \colon \mathcal{V} \to X$  in  $\mathcal{V}$ -Cat. We also note that  $\mathcal{V}$ -functors between tensored  $\mathcal{V}$ -categories are characterized by a pleasant property: a map  $f \colon X \to Y$  between tensored  $\mathcal{V}$ -categories X and Y is a  $\mathcal{V}$ -functor if and only if f is monotone and, for all  $u \in \mathcal{V}$  and  $x \in X$ ,  $u \otimes f(x) \leq f(u \otimes x)$  [Stubbe, 2006].

#### 2.2 Lax extensions

A *lax extension* of a functor  $F : \mathsf{Set} \to \mathsf{Set}$  to  $\mathcal{V}\text{-Rel}$  consists of a map

$$(r, r': X \to Y) \longmapsto (Lr: FX \to FY)$$

such that

(L1) 
$$r \le r' \implies \mathsf{L}r \le \mathsf{L}r'$$
,

(L2) 
$$Ls \cdot Lr \leq L(s \cdot r)$$
,

(L3) 
$$\mathsf{F} f \leq \mathsf{L} f$$
 and  $(\mathsf{F} f)^{\circ} \leq \mathsf{L} (f^{\circ})$ 

for all  $r: X \to Y$ ,  $s: Y \to Z$ , and  $f: X \to Y$ . The first condition means precisely that L induces a monotone map

$$L_{X,Y} : \mathcal{V}\text{-Rel}(X,Y) \longrightarrow \mathcal{V}\text{-Rel}(\mathsf{F}X,\mathsf{F}Y),$$
 (2.i)

for all sets X and Y. We say that a lax extension is  $\mathcal{V}$ -enriched if this map satisfies the stronger condition of being a  $\mathcal{V}$ -functor, for all sets X and Y (see Remark 2.13):

$$(L1')$$
  $[r, r'] \leq [Lr, Lr']$ 

for all  $r, r': X \to Y$ . A lax extension L is *identity-preserving* if  $L1_X = 1_{\mathsf{F}X}$  for every set X.

Remark 2.15. It is common to refer to various forms of extensions of Set-functors to Rel as relators, relational liftings, or lax relational liftings.

**Example 2.16.** The prototypical example of a lax extension is the Barr extension to Rel of a functor  $F \colon \mathsf{Set} \to \mathsf{Set}$  that preserves weak pullbacks. Taking advantage of the fact that every relation  $r \colon X \to Y$  can be described as a span

$$X \stackrel{p_1}{\longleftarrow} R \stackrel{p_2}{\longrightarrow} Y$$
,

the Barr extension of F sends r to the relation  $\mathsf{F} p_2 \cdot \mathsf{F} p_1^{\circ}$ .

Kurz and Velebil [2016] provide a concise survey oriented towards applications of lax extensions in coalgebra and logic that deals mostly with lax extensions to Rel. Regarding lax extensions to V-Rel, work within the framework of *monoidal topology* (e.g [Clementino and Hofmann, 2004; Seal, 2005; Schubert and Seal, 2008]) encompasses a substantial amount of results.

Our main motivation to study lax extensions stems from the fact that they provide a framework for the coalgebraic treatment of various notions of quantale-valued (bi)simulation (e.g. [Rutten, 1998; Hughes and Jacobs, 2004; Marti and Venema, 2015; Wild and Schröder, 2020, 2021; Gavazzo, 2018; Goncharov et al., 2023]). Recall that an F-coalgebra for a functor F: Set  $\rightarrow$  Set is a pair  $(X, \alpha)$  consisting of a set X of states and a transition map  $\alpha \colon X \to \mathsf{F} X$ ; such coalgebras are viewed as transition systems, with F determining the transition type (e.g. if F is just powerset, then F-coalgebras are relational transition systems in the usual sense). Now given a lax extension L:  $\mathcal{V}$ -Rel  $\rightarrow$   $\mathcal{V}$ -Rel of F, an L-simulation between F-coalgebras  $(X, \alpha)$  and  $(Y, \beta)$  is a  $\mathcal{V}$ -relation  $s \colon X \to Y$  such that

$$\beta \cdot s \leq \mathsf{L} s \cdot \alpha$$
.

If the lax extension L preserves converse, then L-simulations are more suitably called L-bisimulations. Since  $\mathcal{V}$ -Rel is a quantaloid, there is the largest L-(bi)simulation between two given coalgebras, which is termed L-(bi)similarity. In the two-valued case, it has been shown by Marti and Venema [2015] that the notion of L-bisimilarity arising from an identity-preserving lax extension that preserves converse coincides with the standard coalgebraic notion of behavioural equivalence. On the other hand, the notion of  $\mathcal{V}$ -enriched lax extension has been instrumental in establishing quantitative Hennessy-Milner and van Benthem type theorems [Wild and Schröder, 2020, 2021]. It has been introduced with  $\mathcal{V}$ -enrichment replaced with (L1) together with the condition

(L4) For every set X and every  $u \in \mathcal{V}$ ,  $u \otimes 1_{\mathsf{F}X} \leq \mathsf{L}(u \otimes 1_X)$ ,

where  $u \otimes 1_A$  denotes the tensor of u and  $1_A$  as defined in Remark 2.14 (and this condition is shown to be equivalent to a variant of  $\mathcal{V}$ -enrichment where  $\mathcal{V}$  is equipped with the symmetrized distance). The next result records the equivalence of these and other conditions.

**Theorem 2.17.** For a lax extension  $L: \mathcal{V}\text{-Rel} \to \mathcal{V}\text{-Rel}$ , the following assertions are equivalent.

(i) L is V-enriched.

- (ii) For every set X and every  $u \in \mathcal{V}$ ,  $u \leq [1_{\mathsf{F}X}, \mathsf{L}(u \otimes 1_X)]$ .
- (iii) For every set X and every  $u \in \mathcal{V}$ ,  $u \otimes 1_{\mathsf{F}X} \leq \mathsf{L}(u \otimes 1_X)$ .
- (iv) For every V-relation  $r: X \to Y$  and every  $u \in V$ ,  $u \otimes Lr \leq L(u \otimes r)$ .

*Proof.* Note that a lax extension satisfies the monotonicity condition (L1), therefore the statements (i) and (iv) are equivalent by general results about tensored  $\mathcal{V}$ -categories recalled in Remark 2.14. Now assume (i). Then, for every  $u \in \mathcal{V}$ ,

$$u \leq [1_X, u \otimes 1_X] \leq [\mathsf{L}1_X, \mathsf{L}(u \otimes 1_X)] \leq [1_{\mathsf{F}X}, \mathsf{L}(u \otimes 1_X)].$$

The implication (ii) $\Rightarrow$ (iii) follows from the adjunction  $-\otimes 1_{\mathsf{F}X} \dashv [1_{\mathsf{F}X}, -]$ . Finally, assume (iii). Then, for every  $u \in \mathcal{V}$  and  $r: X \to Y$ ,

$$\mathsf{L}(u \otimes r) = \mathsf{L}(u \otimes (r \cdot 1_X)) = \mathsf{L}(r \cdot (u \otimes 1_X)) \ge \mathsf{L}r \cdot \mathsf{L}(u \otimes 1_X) \ge \mathsf{L}r \cdot (u \otimes 1_{\mathsf{F}X}) = u \otimes \mathsf{L}r. \quad \Box$$

Remark 2.18. If  $k = \top$  in  $\mathcal{V}$ , then  $u \otimes 1_X \leq 1_X$  and therefore  $[u \otimes 1_X, 1_X] = k = \top$ . Hence,

$$[1_X, u \otimes 1_X] = [1_X, u \otimes 1_X] \wedge [u \otimes 1_X, 1_X],$$

and  $\mathcal{V}$ -enrichment of a lax extension can be equivalently expressed using the symmetrization of the canonical structure on  $\mathcal{V}$ .

Remark 2.19. For the quantale [0,1] of Example 2.2(2c), Wild and Schröder [2020] prove the equivalence (i) $\Leftrightarrow$ (iii) of Theorem 2.17, but with non-expansiveness of (2.i) defined with respect to the symmetric Euclidean metric on [0,1] and with  $\Delta_{\varepsilon,X}$  denoting  $\varepsilon \otimes 1_X$ . Since this quantale is integral, Remark 2.18 ensures that this is equivalent to considering the asymmetric distance.

In the remainder of this subsection we collect some useful properties of lax extensions. First we note that they preserve certain composites of  $\mathcal{V}$ -relations and functions strictly.

**Proposition 2.20.** Let L:  $\mathcal{V}$ -Rel  $\to \mathcal{V}$ -Rel be a lax extension, and let  $f: X \to Y$ ,  $g: W \to Z$  be functions and  $s: Y \to Z$  a  $\mathcal{V}$ -relation. Then,

1. 
$$L(s \cdot f) = Ls \cdot Lf = Ls \cdot Ff$$

2. 
$$L(q^{\circ} \cdot s) = L(q^{\circ}) \cdot Ls = (Fq)^{\circ} \cdot Ls$$
.

Proof. See, for example, [Hofmann et al., 2014, Proposition III.1.4.4].

**Proposition 2.21.** Let  $L: \mathcal{V}\text{-Rel} \to \mathcal{V}\text{-Rel}$  be a lax functor. Then, items 1 and 2 of Proposition 2.20 are equivalent as conditions on L. If L satisfies them, then L is a lax extension of F.

A morphism of lax extensions  $\alpha: (G, L) \to (F, L_F)$  is a natural transformation  $\alpha: G \to F$  that is oplax as a transformation  $\alpha: L_G \to L_F$ ; that is, for every  $r: X \to Y$ ,

$$\alpha_Y \cdot \mathsf{L}_{\mathsf{G}} r \leq \mathsf{L}_{\mathsf{F}} r \cdot \alpha_X$$
.

When no ambiguities arise, we simply write  $\alpha: L_G \to L_F$ .

Disregarding size constraints, we have that lax extensions and their morphisms form a category which is topological over the category of endofunctors on Set [Schubert and Seal, 2008, Remark 3.5]. Given a family  $\alpha = (\alpha_i : \mathsf{F} \to \mathsf{F}_i)_{i \in I}$  of natural transformations where each  $\mathsf{F}_i$  carries a lax extension  $\mathsf{L}_i$  to  $\mathcal{V}\text{-Rel}$ , the *initial extension*  $\mathsf{L}_\alpha$  is defined by

$$\mathsf{L}_{\alpha}r = \bigwedge_{i \in I} \alpha_Y^{\circ} \cdot \mathsf{L}_i r \cdot \alpha_X,$$

for  $r: X \to Y$ . In particular, the supremum and infimum over a *class* of lax extensions of a functor  $F: \mathsf{Set} \to \mathsf{Set}$  is a lax extension of F.

Every lax extension has a dual as introduced by Seal [2005]. The *dual lax extension*  $L^{\circ}$ :  $\mathcal{V}$ -Rel  $\to$   $\mathcal{V}$ -Rel of a lax extension L:  $\mathcal{V}$ -Rel is the lax extension of F: Set  $\to$  Set that is defined by the assignment

$$r \longmapsto \mathsf{L}(r^{\circ})^{\circ}.$$

Notably, this means that we can symmetrize lax extensions. The *symmetrization*  $\hat{\mathsf{F}}^s \colon \mathcal{V}\text{-Rel} \to \mathcal{V}\text{-Rel}$  of a lax extension L:  $\mathcal{V}\text{-Rel} \to \mathcal{V}\text{-Rel}$  is the lax extension obtained as the meet of L and L°.

Finally, an important application of lax extensions of a functor  $F \colon \mathsf{Set} \to \mathsf{Set}$  to  $\mathcal{V}\text{-Rel}$  is to construct liftings of F to  $\mathcal{V}\text{-Cat}$ ; that is, endofunctors on  $\mathcal{V}\text{-Cat}$  that make the following diagram commute, where the vertical arrows represent the forgetful functor:

$$\begin{array}{ccc} \mathcal{V}\text{-Cat} & \stackrel{\mathsf{L}}{\longrightarrow} \mathcal{V}\text{-Cat} \\ \downarrow & & \downarrow \\ \mathsf{Set} & \stackrel{\mathsf{F}}{\longrightarrow} \mathsf{Set} \end{array}$$

The lifting L:  $\mathcal{V}$ -Cat induced by a lax extension L:  $\mathcal{V}$ -Rel sends a  $\mathcal{V}$ -category (X, a) to the  $\mathcal{V}$ -category (FX, La).

## 2.3 Predicate liftings

Given a cardinal  $\kappa$ , a  $\kappa$ -ary *predicate lifting* for a functor  $F : \mathsf{Set} \to \mathsf{Set}$  is a natural transformation

$$\mu \colon \mathsf{Q}_{\mathcal{V}^{\kappa}} \longrightarrow \mathsf{Q}_{\mathcal{V}}\mathsf{F},$$

where, for a set Y,  $\mathsf{Q}_Y \colon \mathsf{Set}^\mathrm{op} \to \mathsf{Set}$  denotes the functor

$$\mathsf{Set}(-,Y) \colon \mathsf{Set}^{\mathrm{op}} \to \mathsf{Set};$$

that is, we can think of elements of  $Q_{\mathcal{V}^{\kappa}}X$  as  $\kappa$ -indexed families of  $\mathcal{V}$ -valued predicates on X. We say that  $\mu \colon Q_{\mathcal{V}^{\kappa}} \to Q_{\mathcal{V}}F$  is **monotone** if for every set X the map

$$\mu_X \colon \mathsf{Set}(X, \mathcal{V}^\kappa) \to \mathsf{Set}(\mathsf{F}X, \mathcal{V})$$

is monotone w.r.t the pointwise orders induced by the corresponding powers of the complete lattice  $\mathcal{V}$ , and  $\mathcal{V}$ -enriched if this map is actually a  $\mathcal{V}$ -functor w.r.t to the  $\mathcal{V}$ -categorical structures induced by the corresponding powers of the  $\mathcal{V}$ -category  $\mathcal{V}$  (See Remark 2.13). Note that when talking about monotone or  $\mathcal{V}$ -enriched predicate liftings, instead of  $Q_{\mathcal{V}^\kappa}$ :  $\mathsf{Set}^\mathrm{op} \to \mathsf{Set}$  we actually consider the functors  $Q_{\mathcal{V}^\kappa}$ :  $\mathsf{Set}^\mathrm{op} \to \mathsf{Pos}$  and  $Q_{\mathcal{V}^\kappa}$ :  $\mathsf{Set}^\mathrm{op} \to \mathcal{V}$ -Cat, respectively. In any case, the functors  $Q_{\mathcal{V}^\kappa}$  are part of an adjunction: in general, for a category  $\mathsf{A}$  and an object  $\mathsf{A}$  with powers in  $\mathsf{A}$ , we have

Set<sup>op</sup> 
$$A = A \cdot A$$

Moreover, for all functors  $G: \mathsf{Set}^{\mathrm{op}} \to \mathsf{A}$  and  $\mathsf{F}: \mathsf{Set} \to \mathsf{Set}$ , the adjunction above induces a bijection between natural transformations  $\mathsf{G} \to \mathsf{Q}_A \mathsf{F}$  and natural transformations  $\mathsf{F} \to \mathsf{A}(\mathsf{G}, A)$ . In particular, a  $\kappa$ -ary predicate lifting  $\mu: \mathsf{Q}_{\mathcal{V}^{\kappa}} \to \mathsf{Q}_{\mathcal{V}} \mathsf{F}$  corresponds to a natural transformation

$$\overline{\mu} \colon \mathsf{F} \longrightarrow \mathsf{A}(\mathsf{Q}_{\mathcal{V}^{\kappa}}, \mathcal{V}).$$
 (2.ii)

For A = Set (respectively A = Pos) and  $\mathcal{V} = 2$ , the codomain of  $\overline{\mu}$  is the generalized (monotone) neighbourhood functor (e.g. [Schröder and Pattinson, 2010; Marti and Venema, 2015]). A collection M of predicate liftings is **separating** if the cone

$$(\overline{\mu}_X \colon \mathsf{F} X \longrightarrow \mathsf{Set}(\mathsf{Q}_{\mathcal{V}^{\kappa}} X, \mathcal{V}))_{\mu \in M}$$

(with  $\overline{\mu}$  as per (2.ii)) is mono (i.e. jointy injective) for every set X.

Remark 2.22. Predicate liftings are instrumental in coalgebraic logic (e.g [Pattinson, 2004; Schröder, 2008; Cîrstea et al., 2011]). As a basic example, consider the Kripke semantics of modal logic. Coalgebras for the covariant powerset functor  $P \colon \mathsf{Set} \to \mathsf{Set}$  correspond precisely to Kripke frames. In coalgebraic modal logic, the Kripke semantics of the modal logic K is recovered by interpreting the modal operator  $\Diamond$  as the predicate lifting  $\Diamond \colon \mathsf{Q}_2 \to \mathsf{Q}_2 \mathsf{P}$  whose X-component is defined by

$$\Diamond_X(A) = \{ B \subseteq X \mid A \cap B \neq \emptyset \},\$$

or by interpreting the modal operator  $\square$  as the predicate lifting  $\square: \mathbb{Q}_2 \to \mathbb{Q}_2 \mathbb{P}$  whose X-component is defined by

$$\square_X(A) = \{ B \subseteq X \mid B \subseteq A \}.$$

Both  $\Diamond$  and  $\square$  are monotone (=2-enriched) predicate liftings, and both  $\{\Diamond\}$  and  $\{\square\}$  are separating for P. Similarly,  $\mathcal{V}$ -valued predicate liftings provide the semantical framework for modal operators in  $\mathcal{V}$ -valued coalgebraic modal logic (e.g. [Wild and Schröder, 2020, 2021; Goncharov et al., 2023; Forster et al., 2023]). In inductive definitions of the semantics of (coalgebraic) modal logics over a coalgebra  $(X,\alpha)$ , formulae are typically interpreted as subsets of the state set X. If  $\heartsuit$  is the predicate lifting interpreting a modality  $\heartsuit$ , and  $Y \subseteq X$  is the interpretation of a formula  $\phi$ , then the formula  $\heartsuit \phi$  is interpreted by the set  $\alpha^{-1}[\heartsuit_X(Y)]$ . For instance, the interpretation of  $\Diamond \phi$  in a P-coalgebra  $(X,\alpha)$ , again with  $Y \subseteq X$  being the interpretation of  $\phi$ , consists of all  $x \in X$  such that  $\alpha(x) \cap Y \neq \emptyset$ , i.e. of all states that have some successor satisfying  $\phi$ .

Remark 2.23. Generalizing a corresponding observation for the 2-valued case [Schröder, 2008], we note that by the Yoneda lemma, a predicate lifting  $\mu \colon Q_{\mathcal{V}^{\kappa}} \to Q_{\mathcal{V}} \mathsf{F}$  is equivalently given by a morphism of type  $\mathsf{F}\mathcal{V}^{\kappa} \to \mathcal{V}$ , the image of the identity map on  $\mathcal{V}^{\kappa}$  under  $\mu_{\mathcal{V}^{\kappa}}$ . In particular, for given  $\kappa$ , the collection of all  $\kappa$ -ary predicate liftings is small. The X-component  $\mu_X \colon Q_{\mathcal{V}^{\kappa}} X \to Q_{\mathcal{V}} \mathsf{F} X$  of the predicate lifting induced by a morphism  $g \colon \mathsf{F}\mathcal{V}^{\kappa} \to \mathcal{V}$  is defined by

$$\mu_X(f) = g \cdot \mathsf{F} f.$$

In the two-valued case, it has been shown that separating sets of finitary predicate liftings for a finitary Set-functor give rise to expressive coalgebraic modal logics [Schröder, 2008], and that a finitary Set-functor admits a separating set of finitary monotone predicate liftings if and only if the functor admits an identity-preserving lax extension to Rel [Marti and Venema, 2015]. On the other hand, sets of  $\mathcal{V}$ -enriched predicate liftings satisfying a quantitative analogue of separation feature in expressiveness results for quantitative coalgebraic modal logics for behavioural distances [König and Mika-Michalski, 2018; Wild and Schröder, 2020, 2021, 2022; Forster et al., 2023]. We conclude this subsection with a characterization of  $\mathcal{V}$ -enriched predicate liftings, which corresponds to Theorem 2.17 and can be shown similarly.

**Theorem 2.24.** For a predicate lifting  $\mu: Q_{\mathcal{V}^{\kappa}} \to Q_{\mathcal{V}} \mathsf{F}$ , the following are equivalent.

- (i)  $\mu$  is V-enriched.
- (ii)  $\mu$  is monotone and for every  $u \in \mathcal{V}$ ,  $u \leq [\mu(1_{\mathcal{V}^{\kappa}}), \mu(u \otimes 1_{\mathcal{V}^{\kappa}})]$ .
- (iii)  $\mu$  is monotone and for every  $u \in \mathcal{V}$ ,  $u \otimes \mu(1_{\mathcal{V}^{\kappa}}) \leq \mu(u \otimes 1_{\mathcal{V}^{\kappa}})$ .
- (iv)  $\mu$  is monotone and for every function  $f: X \to \mathcal{V}^{\kappa}$  and every  $u \in \mathcal{V}$ ,  $u \otimes \mu(f) \leq \mu(u \otimes f)$ .

Remark 2.25. Analogously to Remark 2.18, if  $k = \top$ , then  $\mathcal{V}$ -enrichment of predicate liftings can be equivalently expressed using the symmetrization of the canonical structure on  $\mathcal{V}$ .

# 3 From Lax Extensions to Predicate Liftings

We proceed to investigate the relationship between lax extensions and predicate liftings, using  $\mathcal{V}$ -relations as a natural language to express their interaction. We begin by expressing predicate liftings in terms of  $\mathcal{V}$ -relations, with an view to constructing predicate liftings from lax extensions.

We recall that the category Set is cartesian closed. In particular, this means that for every set I, the evaluation map  $\operatorname{ev}_I \colon \mathcal{V}^I \times I \to \mathcal{V}$ , which we think of as a  $\mathcal{V}$ -relation  $\operatorname{ev}_I \colon \mathcal{V}^I \to I$  defined by  $\operatorname{ev}_I(f,i) = f(i)$ , exhibits the function space  $\mathcal{V}^I$  as an exponential object. Therefore, for every set X, currying/uncurrying defines an isomorphism

$$\mathcal{V}$$
-Rel $(X, I) \simeq \operatorname{Set}(X, \mathcal{V}^I)$ .

We denote the right-adjunct of a  $\mathcal{V}$ -relation  $r\colon X \to I$  by  $r^{\sharp}\colon X \to \mathcal{V}^I$ , and the left-adjunct of a function  $f\colon X \to \mathcal{V}^I$  by  $f^{\flat}\colon X \nrightarrow I$ .

Remark 3.1. For every  $\mathcal{V}$ -relation  $r \colon X \to I$ , the universal property of  $\operatorname{ev}_I \colon \mathcal{V}^I \times I \to \mathcal{V}$  interpreted in  $\mathcal{V}$ -Rel implies  $r = \operatorname{ev}_I \cdot r^{\sharp}$ .

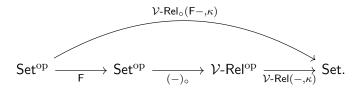
**Lemma 3.2.** Let V be a quantale and I a set. For all functions  $f: X \to Y$  and  $g: Y \to V^I$ ,

$$(g \cdot f)^{\flat} = g^{\flat} \cdot f_{\circ}.$$

*Proof.* Let  $x \in X$  and  $i \in I$ . Then, by definition.

$$(g \cdot f)^{\flat}(x, i) = (g \cdot f)(x)(i) = g(f(x))(i) = g^{\flat}(f(x), i) = (g^{\flat} \cdot f_{\circ})(x, i).$$

In the sequel, given a functor  $F \colon \mathsf{Set} \to \mathsf{Set}$  and a cardinal  $\kappa$ , let  $\mathcal{V}\text{-Rel}_{\circ}(\mathsf{F}-,\kappa) \colon \mathsf{Set}^{\mathrm{op}} \to \mathsf{Set}$  denote the composite functor



The above lemma says essentially that the uncurrying bijection  $(-)^b$  is natural, so we immediately obtain the following:

**Proposition 3.3.** For every set I and every functor  $F: \mathsf{Set} \to \mathsf{Set}$ , the functor  $\mathsf{Q}_{\mathcal{V}^I} F: \mathsf{Set}^{\mathrm{op}} \to \mathsf{Set}$  is isomorphic to the functor  $\mathcal{V}\text{-Rel}_{\circ}(\mathsf{F}-,I): \mathsf{Set}^{\mathrm{op}} \to \mathsf{Set}$ .

Therefore, we mainly view predicate liftings as natural transformations  $\mathcal{V}\text{-Rel}_{\circ}(-,\kappa) \to \mathcal{V}\text{-Rel}_{\circ}(\mathsf{F}-,1)$  from now on, although to keep the notation simple we still write  $\mathsf{Q}_{\mathcal{V}^{\kappa}} \to \mathsf{Q}_{\mathcal{V}}\mathsf{F}$ . Explicitly, naturality of a transformation  $\mu \colon \mathcal{V}\text{-Rel}_{\circ}(-,\kappa) \to \mathcal{V}\text{-Rel}_{\circ}(\mathsf{F}-,1)$  means that for  $f \colon X \to Y$  and  $r \colon Y \to \kappa$ , we have

$$\mu_X(r \cdot f) = \mu_Y(r) \cdot \mathsf{F} f.$$

Now, it is easy to see that every lax extension induces natural transformations with the desired domain:

**Proposition 3.4.** Let  $L: \mathcal{V}\text{-Rel} \to \mathcal{V}\text{-Rel}$  be a lax functor, and  $F: \mathsf{Set} \to \mathsf{Set}$  a functor. Then L is a lax extension of F if and only if L agrees with F on objects, and for every set I, L induces a natural transformation  $L_{-,I}: \mathcal{V}\text{-Rel}_{\circ}(-,I) \to \mathcal{V}\text{-Rel}_{\circ}(F_{-},F_{I})$ .

*Proof.* By Propositions 
$$2.20(1)$$
 and  $2.21$ .

This description suggests that to construct  $\kappa$ -ary predicate liftings from a lax extension, we should construct natural transformations  $\mathcal{V}\text{-Rel}_{\circ}(\mathsf{F}-,F\kappa) \to \mathcal{V}\text{-Rel}_{\circ}(\mathsf{F}-,1)$ . The easiest way to do so is to select a  $\mathcal{V}$ -relation  $\mathbf{r} \colon \mathsf{F}\kappa \to 1$ . Then, each component of the resulting predicate lifting  $\mathcal{V}\text{-Rel}_{\circ}(-,\kappa) \to \mathcal{V}\text{-Rel}_{\circ}(\mathsf{F}-,1)$  is computed as

$$f \longmapsto \mathbf{r} \cdot \mathsf{L} f$$
.

This motivates our notion of predicate lifting induced by a lax extension, which, as we shall explain at the end of this section, generalizes the notion of *Moss lifting* [Leal, 2008; Kurz and Leal, 2009; Marti and Venema, 2015; Wild and Schröder, 2021].

**Definition 3.5.** A predicate lifting  $\mu \colon \mathsf{Q}_{\mathcal{V}^{\kappa}} \to \mathsf{Q}_{\mathcal{V}}\mathsf{F}$  is *induced by* a lax extension  $\mathsf{L} \colon \mathcal{V}\text{-Rel} \to \mathcal{V}\text{-Rel}$ , or just a *predicate lifting for*  $\mathsf{L}$ , if there exists a  $\mathcal{V}\text{-relation }\mathbf{r} \colon \mathsf{F}_{\kappa} \to 1$  such that  $\mu(f) = \mathbf{r} \cdot \mathsf{L} f$ , for every  $\mathcal{V}\text{-relation } f \colon X \to \kappa$ . If  $\mathbf{r}$  is the converse of an element  $\mathbf{k} \colon 1 \to \mathsf{F}_{\kappa}$ , then we say that  $\mu$  is a *Moss lifting* of  $\mathsf{L}$ , and we emphasize this by using the notation  $\mu^{\mathbf{k}} \colon \mathsf{Q}_{\mathcal{V}^{\kappa}} \to \mathsf{Q}_{\mathcal{V}}\mathsf{F}$ .

Immediately from the definition we have:

**Proposition 3.6.** Let  $L: \mathcal{V}\text{-Rel} \to \mathcal{V}\text{-Rel}$  be a lax extension. Every predicate lifting induced by L is monotone. If L is  $\mathcal{V}\text{-enriched}$ , then every predicate lifting induced by L is  $\mathcal{V}\text{-enriched}$ .

**Example 3.7.** Consider the lax extension of the covariant powerset functor  $P: Set \to Set$  to Rel given by

$$B(\widehat{\mathsf{P}}r)C \iff \forall c \in C, \exists b \in B, \ b \ r \ c.$$

The unary Moss lifting for  $\widehat{\mathsf{P}}$  determined by the element  $1 \in \mathsf{P}1$  is the predicate lifting  $\Diamond \colon \mathsf{Q}_{\mathcal{V}} \to \mathsf{Q}_{\mathcal{V}} \mathsf{P}$  whose X-component is defined by

$$A \longmapsto \{B \subseteq X \mid A \cap B \neq \emptyset\}.$$

The Moss lifting for the dual extension of  $\widehat{\mathsf{P}}$  determined by the element  $1 \in \mathsf{P}1$  is the predicate lifting  $\Box \colon \mathsf{Q}_{\mathcal{V}} \to \mathsf{Q}_{\mathcal{V}}\mathsf{P}$  whose X-component is computed as

$$A \longmapsto \{B \subseteq X \mid B \subseteq A\}.$$

On the other hand, the unary Moss lifting for the Barr extension  $\bar{\mathsf{P}}$  of  $\mathsf{P}$  (the symmetrization of  $\widehat{\mathsf{P}}$ ) determined by the element  $1 \in \mathsf{P}1$  is the predicate lifting  $\nabla \colon \mathsf{Q}_{\mathcal{V}} \to \mathsf{Q}_{\mathcal{V}} \mathsf{P}$  whose X-component is defined by

$$A \longmapsto \{B \subseteq X \mid B \neq \emptyset \land B \subseteq A\}.$$

As mentioned in Remark 2.23, by the Yoneda Lemma,  $\kappa$ -ary predicate liftings for a functor are completely determined by their action on the identity function on  $\mathcal{V}^{\kappa}$  and the action of the functor. In the relational point of view, this means that  $\kappa$ -ary predicate liftings are completely determined by the image of the evaluation relation  $\operatorname{ev}_{\kappa} \colon \mathcal{V}^{\kappa} \to \kappa$ . In the following, we will see that  $\kappa$ -ary predicate liftings induced by a lax extension are completely determined by their action on the identity  $\mathcal{V}$ -relation  $1_{\kappa} \colon \kappa \to \kappa$  and the action of the lax extension. This characterization makes it easy to construct, detect and manipulate predicate liftings induced by lax extensions (see Corollary 3.10, Lemma 5.12 and Proposition 3.12).

**Lemma 3.8.** Let  $\mu \colon \mathsf{Q}_{\mathcal{V}^\kappa} \to \mathsf{Q}_{\mathcal{V}}\mathsf{F}$  be a predicate lifting and  $\mathsf{L} \colon \mathcal{V}\text{-Rel} \to \mathcal{V}\text{-Rel}$  a lax extension. Then the following are equivalent:

- (i)  $\mu$  is induced by L;
- (ii)  $\mu(ev_{\kappa}) = \mathbf{r} \cdot \mathsf{L} ev_{\kappa}$ , for some  $\mathbf{r} : \mathsf{F} \kappa \to 1$ ;

(iii) 
$$\mu(ev_{\kappa}) = \mu(1_{\kappa}) \cdot Lev_{\kappa};$$

(iv) 
$$\mu(ev_{\kappa}) = (\mu(ev_{\kappa}) - Lev_{\kappa}) \cdot Lev_{\kappa}$$
.

*Proof.* Let  $f: X \to \kappa$  be a  $\mathcal{V}$ -relation.

(i)  $\Rightarrow$  (ii) By definition.

(ii) 
$$\Rightarrow$$
 (iii)  $\mu(ev_{\kappa}) = \mathbf{r} \cdot \mathsf{L} ev_{\kappa} = \mathbf{r} \cdot \mathsf{L} 1_{\kappa} \cdot \mathsf{L} ev_{\kappa} = \mu(1_{\kappa}) \cdot \mathsf{L} ev_{\kappa}$ .

- (iii)  $\Rightarrow$  (iv) By adjointness, the assumption implies that  $\mu(1_{\kappa}) \leq \mu(ev_{\kappa}) \leftarrow \mathsf{L}\,ev_{\kappa}$ , so we obtain  $\mu(ev_{\kappa}) = \mu(1_{\kappa}) \cdot \mathsf{L}\,ev_{\kappa} \leq (\mu(ev_{\kappa}) \leftarrow \mathsf{L}\,ev_{\kappa}) \cdot \mathsf{L}\,ev_{\kappa} \leq \mu(ev_{\kappa})$ .
- (iv)  $\Rightarrow$  (i) Put  $\mathbf{r} = \mu(ev_{\kappa}) Lev_{\kappa}$ . Then, since  $\mu$  is a natural transformation,

$$\mu(f) = \mu(ev_{\kappa} \cdot f^{\sharp}) = \mu(ev_{\kappa}) \cdot \mathsf{F} f^{\sharp}$$
$$= \mathbf{r} \cdot \mathsf{L} ev_{\kappa} \cdot \mathsf{F} f^{\sharp} = \mathbf{r} \cdot \mathsf{L} f$$

where the last equality is by Proposition 2.20.

We crystallize the above into a Yoneda-style characterization of predicate liftings that is analogous to the one mentioned in Remark 2.23 but uses the lax extension instead of the underlying set functor:

**Theorem 3.9.** A predicate lifting  $\mu: Q_{\mathcal{V}^{\kappa}} \to Q_{\mathcal{V}} \mathsf{F}$  is induced by a lax extension  $\mathsf{L} \colon \mathcal{V}\text{-Rel} \to \mathcal{V}\text{-Rel}$  if and only if it is defined from  $\mu(1_{\kappa})$  (in the  $\mathcal{V}\text{-relational view}$ ) by

$$\mu(f) = \mu(1_{\kappa}) \cdot \mathsf{L}(f).$$

*Proof.* 'If' is immediate by Condition (iii) in Lemma 3.8; we prove 'only if'. Varying the calculation in the proof of (iv)  $\Longrightarrow$  (i) in Lemma 3.8 and using condition (iii) of the lemma, we have

$$\mu(f) = \mu(\operatorname{ev}_{\kappa} \cdot f^{\sharp}) = \mu(\operatorname{ev}_{\kappa}) \cdot \mathsf{F} f^{\sharp} \stackrel{\text{(iii)}}{=} \mu(1_{\kappa}) \cdot \mathsf{L} \operatorname{ev}_{\kappa} \cdot \mathsf{F} f^{\sharp} = \mu(1_{\kappa}) \cdot \mathsf{L} f.$$

Corollary 3.10. A predicate lifting  $\mu \colon \mathsf{Q}_{\mathcal{V}^{\kappa}} \to \mathsf{Q}_{\mathcal{V}}\mathsf{F}$  is induced by a lax extension  $\mathsf{L} \colon \mathcal{V}\text{-Rel} \to \mathcal{V}$ -Rel if and only if for every relation  $r \colon X \to Y$  and every function  $g \colon \kappa \to Y$ ,

$$\mu(g^{\circ} \cdot r) = \mu(g^{\circ}) \cdot \mathsf{L}r.$$

*Proof.* For 'if', just apply the assumption to  $g = \mathrm{id}_{\kappa}$ , and use Theorem 3.9. For 'only if', apply Theorem 3.9 to  $g^{\circ} \cdot r$ , obtaining

$$\mu(g^{\circ} \cdot r) = \mu(1_{\kappa}) \cdot \mathsf{L}(g^{\circ} \cdot r) = \mu(1_{\kappa}) \cdot \mathsf{L}(g^{\circ}) \cdot \mathsf{L}r = \mu(g^{\circ}) \cdot \mathsf{L}r$$

where the second equality is by Proposition 2.20.

Remark 3.11. Given a  $\kappa$ -ary predicate lifting  $\mu \colon \mathsf{Q}_{\mathcal{V}^{\kappa}} \to \mathsf{Q}_{\mathcal{V}}\mathsf{F}$  induced by a lax extension  $\mathsf{L} \colon \mathcal{V}\text{-Rel} \to \mathcal{V}\text{-Rel}$  of  $\mathsf{F}$ , it follows from Theorem 3.9 that  $\mu(1_{\kappa}) \colon \mathsf{F}\kappa \to 1$  is the largest  $\mathcal{V}\text{-relation}$  that induces  $\mu$ , but it may not be the only  $\mathcal{V}\text{-relation}$  with this property if  $\mathsf{L}$  does not preserve identities. For instance, in Example 3.7 we have seen that  $\Box \colon \mathsf{Q}_2 \to \mathsf{Q}_2\mathsf{P}$  is the Moss lifting given by  $1 \in \mathsf{P}1$  for the dual lax exitesion of  $\widehat{\mathsf{P}}$  but  $\Box(1_1) = \top_{2,1}$ .

In Section 4 we will see that every lax extension is induced by its class of Moss liftings, and we turn now to the question of characterizing the Moss liftings induced by a lax extension in the usual point of view.

**Lemma 3.12.** Let  $L: \mathcal{V}\text{-Rel} \to \mathcal{V}\text{-Rel}$  be a lax extension of a functor  $F: \mathsf{Set} \to \mathsf{Set}$ , and  $\mu: \mathsf{Q}_{\mathcal{V}^{\kappa}} \to \mathsf{Q}_{\mathcal{V}}\mathsf{F}$  a predicate lifting induced by L. Let  $h: \mathcal{V}^{\kappa} \to \mathcal{V}^{\kappa}$  denote the structure of the  $\mathcal{V}$ -category  $(\mathcal{V}^{\kappa})^{\mathrm{op}}$ . Then,

$$\mu(ev_{\kappa}) = \mu(1_{\kappa}) \cdot (\mathsf{F}1_{\kappa}^{\sharp})^{\circ} \cdot \mathsf{L}h.$$

*Proof.* Observe that  $\operatorname{ev}_{\kappa} = (1_{\kappa}^{\sharp})^{\circ} \cdot h$ . Thus, we have

$$\mu(\mathrm{ev}_{\kappa}) = \mu((1_{\kappa}^{\sharp})^{\circ} \cdot h) = \mu((1_{\kappa}^{\sharp})^{\circ}) \cdot \mathsf{L}(h) = \mu(1_{\kappa}) \cdot \mathsf{L}((1_{\kappa}^{\sharp})^{\circ}) \cdot \mathsf{L}h = \mu(1_{\kappa}) \cdot (\mathsf{F1}_{\kappa}^{\sharp})^{\circ} \cdot \mathsf{L}h,$$

using Corollary 3.10, Theorem 3.9, and Proposition 2.20, in that order.

**Theorem 3.13.** Let  $\kappa$  be a cardinal and  $h: \mathcal{V}^{\kappa} \to \mathcal{V}^{\kappa}$  be the structure of the  $\mathcal{V}$ -category  $(\mathcal{V}^{\kappa})^{\mathrm{op}}$  (see Remark 2.13). Furthermore, let  $L: \mathcal{V}$ -Rel  $\to \mathcal{V}$ -Rel be a lax extension of a functor  $F: \mathsf{Set} \to \mathsf{Set}$ . The  $\kappa$ -ary Moss liftings induced by L correspond precisely to the representable  $\mathcal{V}$ -functors  $(\mathsf{F}\mathcal{V}^{\kappa}, \mathsf{L}h) \to \mathcal{V}^{\mathrm{op}}$  with representing objects in the image of the map  $\mathsf{F1}^{\sharp}_{\kappa}: \mathsf{F}\kappa \to \mathsf{F}\mathcal{V}^{\kappa}$ .

*Proof.* Let  $\mu^{\mathbf{k}} \colon \mathsf{Q}_{\mathcal{V}^{\kappa}} \to \mathsf{Q}_{\mathcal{V}}\mathsf{F}$  be a Moss lifting induced by L. Note that  $\mu(1_{\kappa}) \cdot (\mathsf{F}1_{\kappa}^{\sharp})^{\circ} \cdot \mathsf{L}h = \mathbf{k}^{\circ} \cdot (\mathsf{F}1_{\kappa}^{\sharp})^{\circ} \cdot \mathsf{L}h$ . Hence, from Lemma 3.12, we conclude that under the isomorphism between  $\kappa$ -ary predicate liftings for  $\mathsf{F}$  and maps of type  $\mathsf{F}\mathcal{V}^{\kappa} \to \mathcal{V}$  (see Remark 2.23) the predicate lifting  $\mu^{\mathbf{k}}$  corresponds to the map  $\mathsf{F}\mathcal{V}^{\kappa} \to \mathcal{V}$  defined by

$$\mathbf{v} \longmapsto \mathsf{L}h(\mathbf{v},\mathsf{F}1_{\kappa}^{\sharp}(\mathbf{k})).$$

That is,  $\mu^{\mathbf{k}}$  corresponds to the representable  $\mathcal{V}$ -functor  $(\mathsf{F}\mathcal{V}^{\kappa}, \mathsf{L}h) \to \mathcal{V}^{\mathrm{op}}$  with representing object  $\mathsf{F1}^{\sharp}_{\kappa}(\mathbf{k})$ . On the other hand, this also makes it clear that every representable  $\mathcal{V}$ -functor  $(\mathsf{F}\mathcal{V}^{\kappa}, \mathsf{L}h) \to \mathcal{V}^{\mathrm{op}}$  with representing object in the image of the map  $\mathsf{F1}^{\sharp}_{\kappa} \colon \mathsf{F}\kappa \to \mathsf{F}\mathcal{V}^{\kappa}$  corresponds to a Moss lifting.

By Lemma 3.8, the predicate liftings induced by a lax extension  $L: \mathcal{V}\text{-Rel} \to \mathcal{V}\text{-Rel}$  are determined by the fixed points of the monotone map

$$(- - L \operatorname{ev}_{\kappa}) \cdot L \operatorname{ev}_{\kappa} : \mathcal{V}\operatorname{-Rel}(\mathsf{F}\mathcal{V}^{\kappa}, 1) \longrightarrow \mathcal{V}\operatorname{-Rel}(\mathsf{F}\mathcal{V}^{\kappa}, 1),$$

which are precisely the  $\mathcal{V}$ -relations that can be factorized as  $\mathbf{r} \cdot \mathsf{L} \operatorname{ev}_{\kappa}$  for some  $\mathcal{V}$ -relation  $\mathbf{r} \colon \mathsf{F}\kappa \to 1$ . Therefore, the least fixed point is obtained by composing the  $\mathcal{V}$ -relation  $\mathsf{L} \operatorname{ev}_{\kappa} \colon \mathsf{F}\mathcal{V}^{\kappa} \to \mathsf{F}\kappa$  with the  $\mathcal{V}$ -relation  $\perp_{\mathsf{F}\kappa,1} \colon \mathsf{F}\kappa \to 1$ , the constant function into  $\perp$ . The corresponding  $\kappa$ -ary predicate lifting sends a  $\mathcal{V}$ -relation  $f \colon X \to \kappa$  to the  $\mathcal{V}$ -relation  $\perp_{\mathsf{F}X,1} \colon \mathsf{F}X \to 1$ , the constant function into  $\perp$ . This is the smallest predicate lifting with respect to **the pointwise order of**  $\kappa$ -ary predicate liftings, which is defined by

$$\mu \le \mu' \iff \forall f \colon X \nrightarrow \kappa, \, \mu(f) \le \mu'(f).$$

Similarly, the greatest fixed point is obtained by composing the  $\mathcal{V}$ -relation  $\mathsf{Lev}_{\kappa} \colon \mathsf{F}\mathcal{V}^{\kappa} \to \mathsf{F}\kappa$  with the  $\mathcal{V}$ -relation  $\top_{\mathsf{F}\kappa,1}$ , the constant function into  $\top$ . However, the greatest predicate lifting induced by a lax extension is not necessarily the greatest predicate lifting.

**Example 3.14.** Consider the identity functor  $1_{\mathsf{Rel}} \colon \mathsf{Rel} \to \mathsf{Rel}$  which is a lax extension to  $\mathsf{Rel}$  of the identity functor  $1_{\mathsf{Set}} \colon \mathsf{Set} \to \mathsf{Set}$ . Then, the greatest unary predicate lifting of  $1_{\mathsf{Set}}$  induced by  $1_{\mathsf{Rel}}$  is the identity natural transformation  $\mathsf{Q}_2 \to \mathsf{Q}_2$ , while the greatest unary predicate lifting for  $1_{\mathsf{Set}}$  sends a relation  $X \to 1$  to the greatest relation  $X \to 1$ .

The definition of Moss lifting of a lax extension used in the literature is seemingly different from the one presented here (e.g. [Leal, 2008; Kurz and Leal, 2009; Marti and Venema, 2015; Wild and Schröder, 2020]). To conclude this section, we show that both definitions are computed in the same way.

We recall that every accessible Set-functor admits a presentation (e.g. [Adámek et al., 2010, Proposition 3.9] and Theorem 5.3) as follows. A  $\lambda$ -ary presentation of a  $\lambda$ -accessible functor F: Set  $\to$  Set consists of a  $\lambda$ -ary signature  $\Sigma$ , that is, a set of operations of arity less than  $\lambda$ , and for each operation  $\sigma \in \Sigma$  of arity  $\kappa$ , a natural transformation  $\sigma \colon (-)^{\kappa} \to \mathsf{F}$  such that, for every  $X \in \mathsf{Set}$ , the cocone  $(\sigma_X \colon X^{\kappa} \to \mathsf{F}X)_{\sigma \in \Sigma}$  is epi. Every functor F: Set  $\to \mathsf{Set}$  has a  $\lambda$ -accessible subfunctor  $\mathsf{F}_{\lambda} \colon \mathsf{Set} \to \mathsf{Set}$  (e.g. [Adámek et al., 2010]) that maps a set X to the set

$$\mathsf{F}_{\lambda}X = \big | \ \big | \{\mathsf{F}i[\mathsf{F}Y] \mid i \colon Y \to X \text{ is a subset inclusion and } |Y| < \lambda \}.$$

The notion of Moss lifting of a lax extension L:  $\mathcal{V}$ -Rel  $\rightarrow \mathcal{V}$ -Rel was introduced by Marti and Venema [2015]. They think of predicate liftings for a functor F: Set  $\rightarrow$  Set as natural transformations

$$(Q_{\mathcal{V}})^n \longrightarrow Q_{\mathcal{V}} F$$
,

where n is a natural number and  $(Q_{\mathcal{V}})^n$  denotes the n-fold product of  $Q_{\mathcal{V}}$ . Then, given a lax extension L:  $\mathcal{V}$ -Rel  $\to \mathcal{V}$ -Rel and a finitary presentation of  $F_{\omega}$  with signature  $\Sigma$ , each n-ary  $\sigma \in \Sigma$  induces a n-ary predicate lifting for F — a Moss lifting — whose X-component is defined by the assignment

$$(f_1,\ldots,f_n)\longmapsto (\mathbf{x}\mapsto \mathsf{L}(\mathrm{ev}_X^{\circ})(\mathbf{x},\sigma_{\mathsf{Q}_{\mathcal{V}}X}(f_1,\ldots,f_n)).$$

Of course, the functors  $Q_{\mathcal{V}^{\kappa}}$  and  $(Q_{\mathcal{V}})^{\kappa}$  are isomorphic, and the next proposition shows that both definitions of Moss lifting agree.

**Proposition 3.15.** Let L:  $\mathcal{V}$ -Rel  $\to \mathcal{V}$ -Rel be a lax extension of a functor F: Set  $\to$  Set,  $\Sigma$  the signature of a  $\lambda$ -ary presentation of  $\mathsf{F}_{\lambda}$ , and  $\kappa$  a cardinal. Let  $\sigma \colon (-)^{\kappa} \to \mathsf{F}_{\lambda} \to \mathsf{F}$  be the natural transformation induced by a  $\kappa$ -ary operation symbol  $\sigma \in \Sigma$ . Then, for every  $\mathcal{V}$ -relation  $f \colon X \to \kappa$ ,

$$\mu^{\sigma_{\kappa}(1_{\kappa})}(f) = \sigma_{P_{\mathfrak{d}},X}(\lceil f \rceil)^{\circ} \cdot \mathsf{L}(\mathrm{ev}^{\circ}),$$

where  $\lceil f \rceil$  represents the V-relation f as a function of type  $\kappa \to \mathsf{Q}_{\mathcal{V}} X$ .

*Proof.* Note that by definition of  $\operatorname{ev}_X^\circ$ ,  $f = \lceil f \rceil^\circ \cdot \operatorname{ev}_X^\circ$ . Hence,  $\mathsf{L} f = \mathsf{F} \lceil f \rceil^\circ \cdot \mathsf{L}(\operatorname{ev}^\circ)$ . Moreover,  $\lceil f \rceil^\kappa (1_\kappa) = \lceil f \rceil$  by definition of the functor  $(-)^\kappa \colon \mathsf{Set} \to \mathsf{Set}$ ; or with  $\operatorname{id}_\kappa \colon 1 \to \kappa^\kappa$  denoting the function that selects the identity morphism on  $\kappa$ ,  $\lceil f \rceil^\kappa \cdot \operatorname{id}_\kappa = \lceil f \rceil$ . Therefore, since  $\sigma \colon (-)^\kappa \to \mathsf{F}$  is a natural transformation,

$$\mu^{\sigma_{\kappa}(1_{\kappa})}(f) = \mathrm{id}_{\kappa}^{\circ} \cdot \sigma_{\kappa}^{\circ} \cdot \mathsf{L}f$$

$$= \mathrm{id}_{\kappa}^{\circ} \cdot \sigma_{\kappa}^{\circ} \cdot \mathsf{F}^{\mathsf{T}} f^{\mathsf{T}^{\circ}} \cdot \mathsf{L}(\mathrm{ev}_{X}^{\circ})$$

$$= \mathrm{id}_{\kappa}^{\circ} \cdot \mathsf{T}^{\mathsf{T}^{\kappa}} \cdot \sigma_{\mathsf{Q}_{\mathcal{V}}X}^{\circ} \cdot \mathsf{L}(\mathrm{ev}_{X}^{\circ})$$

$$= \sigma_{\mathsf{Q}_{\mathcal{V}}X}(\mathsf{T}^{\mathsf{T}})^{\circ} \cdot \mathsf{L}(\mathrm{ev}_{X}^{\circ}).$$

Therefore,  $\kappa$ -ary Moss liftings are constructed in a very simple way. From the usual point of view, a Moss lifting  $\mu^{\mathbf{k}} \colon \mathsf{Q}_{\mathcal{V}^{\kappa}} \to \mathsf{Q}_{\mathcal{V}}\mathsf{F}$  of a lax extension  $\mathsf{L} \colon \mathcal{V}\text{-Rel} \to \mathcal{V}\text{-Rel}$ , for  $\mathsf{k} \colon 1 \to \mathsf{F}\kappa$ , is just the map that sends a function  $f \colon X \to \mathcal{V}^{\kappa}$  (with left-adjunct  $f^{\flat} \colon X \to \kappa$ ) to the function  $\mathsf{L} f^{\flat}(-,\mathbf{k}) \colon \mathsf{F} X \to \mathcal{V}$ .

Remark 3.16. Marti and Venema [2015] consider lax extensions of Set-functors that are not necessarily finitary, however, to construct Moss liftings they restrict the functor to its finitary part. That is, the element  $\mathbf{k}$  inducing a Moss lifting  $\mu^{\mathbf{k}}$  belongs to  $\mathsf{F}_{\omega}\kappa \subseteq \mathsf{F}\kappa$ .

In terms of maps of type  $FV^{\kappa} \to V$ , Proposition 3.12 tells us that  $\mu^{\mathbf{k}}$  is determined by the map  $\mu(1_{V^{\kappa}}) \colon FV^{\kappa} \to V$  defined by

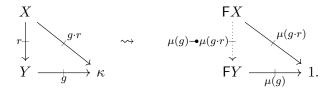
$$\mathbf{x} \longmapsto \mathsf{L}h(\mathbf{x},\mathsf{F}1^{\sharp}_{\kappa}(\mathbf{k})),$$

where  $h: \mathcal{V}^{\kappa} \to \mathcal{V}^{\kappa}$  is the structure of the  $\mathcal{V}$ -category  $(\mathcal{V}^{\kappa})^{\mathrm{op}}$  (see Remark 2.13). In other words,  $\kappa$ -ary Moss liftings correspond precisely to the representable  $\mathcal{V}$ -functors  $(\mathsf{F}\mathcal{V}^{\kappa}, \mathsf{L}h) \to \mathcal{V}^{\mathrm{op}}$  with representing objects in the image of the map  $\mathsf{F1}^{\sharp}_{\kappa} \colon \mathsf{F}\kappa \to \mathsf{F}\mathcal{V}^{\kappa}$ .

## 4 From Predicate Liftings to Lax Extensions

The main goal of this section is to provide a way to construct lax extensions to  $\mathcal{V}$ -Rel from predicate liftings, and to understand which lax extensions arise in such way. The first task has already been discharged in earlier work [Wild and Schröder, 2020, 2021]. In the following, we describe the Kantorovich extension of a collection of monotone predicate liftings [Wild and Schröder, 2020, 2021], but completely from the point of view of  $\mathcal{V}$ -relations. In Theorem 4.6, we show that every Kantorovich extension arises as an initial extension w.r.t to canonical extensions of generalized monotone neighborhood functors.

Given a functor  $F: \mathsf{Set} \to \mathsf{Set}$  and a predicate lifting  $\mu: \mathsf{Q}_{\mathcal{V}^{\kappa}} \to \mathsf{Q}_{\mathcal{V}}\mathsf{F}$ , this perspective makes it intuitive to construct a  $\mathcal{V}$ -relation  $\mathsf{F}X \to \mathsf{F}Y$  from a  $\mathcal{V}$ -relation  $r: X \to Y$ :



**Theorem 4.1.** Let  $\mu: Q_{\mathcal{V}^{\kappa}} \to Q_{\mathcal{V}} \mathsf{F}$  be a  $\kappa$ -ary predicate lifting. For every  $\mathcal{V}$ -relation  $r: X \to Y$ , consider the  $\mathcal{V}$ -relation  $\mathsf{L}^{\mu}r: \mathsf{F}X \to \mathsf{F}Y$  given by

$$\mathsf{L}^{\mu}r = \bigwedge_{g \colon Y \to \kappa} \mu(g) - \mu(g \cdot r). \tag{4.i}$$

If  $\mu$  is monotone, then the assignment  $r \mapsto \mathsf{L}^{\mu} r$  defines a lax extension  $\mathsf{L}^{\mu} \colon \mathcal{V}\text{-Rel} \to \mathcal{V}\text{-Rel}$ , which is  $\mathcal{V}\text{-enriched}$  whenever  $\mu$  is  $\mathcal{V}\text{-enriched}$ .

*Proof.* (L1)/(L1') Monotonicity is immediate from the fact that

$$\mathsf{L}^{\mu}(-) \colon \mathcal{V}\text{-}\mathsf{Rel}(X,Y) \longrightarrow \mathcal{V}\text{-}\mathsf{Rel}(\mathsf{F}X,\mathsf{F}Y)$$

is a composite of monotone maps; similarly for V-enrichment.

**(L2)** Let  $r: X \to Y$  and  $s: Y \to Z$  be  $\mathcal{V}$ -relations. We have to show that  $\mathsf{L} s \cdot \mathsf{L} r \leq \mathsf{L} (s \cdot r)$ . Let  $h: Z \to \kappa$ . By definition, we have

$$\mathsf{L}^{\mu}s \le \mu(h) - \mu(h \cdot s),$$
$$\mathsf{L}^{\mu}r \le \mu(h \cdot s) - \mu(h \cdot s \cdot r).$$

Therefore, by Proposition 2.4(3)

$$\mathsf{L}^{\mu}s\cdot\mathsf{L}^{\mu}r<\mu(h)-\mu(h\cdot s\cdot r),$$

which implies the claim.

**(L3)** Let  $f: X \to Y$ . First, observe that since  $\mu$  is a natural transformation, we have  $\mu(g) \cdot \mathsf{F} f = \mu(g \cdot f)$ , and therefore

$$\mathsf{F} f \leq \mu(g) - \mu(g \cdot f),$$

for all  $g: Y \to \kappa$ . Hence,  $\mathsf{F} f \leq \mathsf{L}^{\mu} f$ . Second, note that because  $\mu$  is monotone and natural, we have

$$\mu(i) \le \mu(i \cdot f^{\circ} \cdot f) = \mu(i \cdot f^{\circ}) \cdot \mathsf{F} f$$

for all  $i: X \to \kappa$ . Therefore, by Proposition 2.9,  $\mu(i) \cdot (\mathsf{F} f)^{\circ} \leq \mu(i \cdot f^{\circ})$ , and hence

$$(\mathsf{F}f)^{\circ} \leq \mu(i) - \mu(i \cdot f^{\circ}).$$

Thus, 
$$(\mathsf{F}f^\circ) \leq \mathsf{L}^{\mu}(f^\circ)$$
.

The formula 4.i of Theorem 4.1 is entailed by the view of predicate liftings as natural transformations of type  $\mathcal{V}\text{-Rel}_{\circ}(-,\kappa) \to \mathcal{V}\text{-Rel}_{\circ}(\mathsf{F}-,1)$ . By applying the involution on  $\mathcal{V}\text{-Rel}_{\circ}$  we could also think of predicate liftings as natural transformations  $\mathcal{V}\text{-Rel}^{\circ}(\kappa,-) \to \mathcal{V}\text{-Rel}^{\circ}(1,\mathsf{F}-)$  between functors defined according to the schema

$$\mathsf{Set}^{\mathrm{op}} \xrightarrow{\mathsf{G}} \mathsf{Set}^{\mathrm{op}} \xrightarrow{(-)^{\circ}} \mathcal{V}\text{-Rel} \xrightarrow[\mathcal{V}\text{-Rel}(I,-)]{} \mathsf{Set}.$$

This point of view would lead us to the dual extension of 4.i.

**Proposition 4.2.** Let  $\mu: \mathcal{V}\text{-Rel}_{\circ}(-,\kappa) \to \mathcal{V}\text{-Rel}_{\circ}(\mathsf{F}-,1)$  be a predicate lifting and  $\bar{\mu}: \mathcal{V}\text{-Rel}^{\circ}(\kappa,-) \to \mathcal{V}\text{-Rel}^{\circ}(1,\mathsf{F}-)$  be the natural transformation defined by

$$r \longmapsto \mu(r^{\circ})^{\circ}$$
.

Then,

$$(\mathsf{L}^{\mu}r^{\circ})^{\circ} = \bigwedge_{f \colon \kappa \to X} \bar{\mu}(r \cdot f) - \bar{\mu}(f).$$

*Proof.* Taking into account Proposition 2.4(1) and the fact that  $(-)^{\circ}$  preserves infima, we have

$$(\mathsf{L}^{\mu}r^{\circ})^{\circ} = \bigwedge_{g \colon X \to \kappa} (\mu(g) - \mu(g \cdot r^{\circ}))^{\circ}$$

$$= \bigwedge_{g \colon X \to \kappa} \mu(g \cdot r^{\circ})^{\circ} - \mu(g)^{\circ}$$

$$= \bigwedge_{g \colon X \to \kappa} \bar{\mu}(r \cdot g^{\circ}) - \bar{\mu}(g^{\circ})$$

$$= \bigwedge_{f \colon \kappa \to X} \bar{\mu}(r \cdot f) - \bar{\mu}(f).$$

**Definition 4.3.** Let  $F: \mathsf{Set} \to \mathsf{Set}$  be a functor, and M a class of monotone predicate liftings. The Kantorovich lax extension of F with respect to M is the lax extension

$$\mathsf{L}^M = \bigwedge_{\mu \in M} \mathsf{L}^\mu.$$

**Examples 4.4.** Let  $\mathcal{V}$  be a quantale.

- 1. The identity functor on V-Rel is the Kantorovich extension of the identity functor on Set with respect to the identity natural transformation  $Q_{\mathcal{V}} \to Q_{\mathcal{V}}$ .
- 2. The largest extension of a functor  $F \colon \mathsf{Set} \to \mathsf{Set}$  to  $\mathcal{V}\text{-Rel}$  arises as the Kantorovich extension of F with respect to the natural transformation  $\top \colon \mathsf{Q}_{\mathcal{V}} \to \mathsf{Q}_{\mathcal{V}} \mathsf{F}$  that sends every map to the constant map  $\top$ ; and also as the Kantorovich extension with respect to the natural transformation  $\bot \colon \mathsf{Q}_{\mathcal{V}} \to \mathsf{Q}_{\mathcal{V}} \mathsf{F}$  that sends every map to the constant map  $\bot$ .
- 3. For a subquantale W of V (that is, W is a submonoid of V closed under suprema), it is easy to construct a unary predicate lifting of the covariant W-powerset functor  $P \colon \mathsf{Set} \to \mathsf{Set}$ , which, in terms of V-relations, is defined by

$$\mathsf{P}X = \mathcal{W}\text{-}\mathsf{Rel}(X,1) \subseteq \mathcal{V}\text{-}\mathsf{Rel}(X,1),$$
  
 $\mathsf{P}f = (-) \cdot f^{\circ}.$ 

A straightforward calculation shows that "evaluating" induces a predicate lifting  $\Diamond \colon Q_{\mathcal{V}} \to Q_{\mathcal{V}} P$  whose X-component is defined by

$$\Diamond_X \colon \mathcal{V}\text{-Rel}(X,1) \longrightarrow \mathcal{V}\text{-Rel}(\mathsf{P}X,1).$$

$$\phi \longmapsto \phi \cdot \mathrm{ev}_{\mathcal{W},X}$$

Then, by Proposition 2.4(4) and Corollary 2.7,

$$\widehat{\mathsf{P}}^{\Diamond}(r) = \mathrm{ev}_{\mathcal{W},Y} - r \cdot \mathrm{ev}_{\mathcal{W},X},$$

for every V-relation  $r: X \to Y$ . Therefore, for W-relations  $\phi: X \to 1$  and  $\psi: Y \to 1$ ,

$$\widehat{\mathsf{P}}^{\Diamond}r(\phi,\psi) = \bigwedge_{y \in Y} \hom(\psi(y), \bigvee_{x \in X} r(x,y) \otimes \phi(x)).$$

Furthermore, for  $\mathcal{W}=2$  this formula simplifies to

$$\widehat{\mathsf{P}}^{\Diamond}r(A,B) = \bigwedge_{b \in B} \bigvee_{a \in A} r(a,b).$$

a) For W = V = 2 we obtain a generalization of the upper half of the Egli-Milner order: for every  $r \colon X \to Y$ , and all  $A \in \mathsf{P} X$  and  $B \in \mathsf{P} Y$ ,

$$A(\widehat{\mathsf{P}}^\lozenge r)B \iff \forall b \in B, \exists a \in A, a\, r\, b.$$

b) For W = 2 and V a left continuous t-norm, we obtain a generalization of the upper half of the Hausdorff metric: for every  $r \colon X \to Y$ , and all  $A \in \mathsf{P}X$  and  $B \in \mathsf{P}Y$ ,

$$\widehat{\mathsf{P}}^{\Diamond}r(A,B) = \bigwedge_{b \in B} \bigvee_{a \in A} r(a,b).$$

4. The dual lax extensions of the extensions 4.4(3a) and 4.4(3b) are generalizations of the lower half of the Egli-Milner order, and of the lower half of the Hausdorff metric, respectively. Therefore, the symmetrization of these lax extensions are generalizations of the Egli-Milner order and the Hausdorff metric.

5. Let us now consider a faithful functor  $|-|: A \to Pos$  with some A-object  $\mathcal V$  over the partially ordered set  $\mathcal V$  and the functor  ${}^{\kappa}\mathsf U = \mathsf A(\mathsf Q_{\mathcal V^\kappa},\mathcal V)\colon \mathsf{Set} \to \mathsf{Set}$ . Some typical examples are  $\mathsf A = \mathsf{Pos}$  and  $\mathsf A = \mathcal V\text{-}\mathsf{Cat};$  for instance, for  $\mathsf A = \mathsf{Pos}$  and  $\mathcal V = \mathsf 2$ , we obtain the generalized monotone neighbourhood functor  ${}^{\kappa}\mathsf U\colon \mathsf{Set} \to \mathsf{Set}$ . There is a canonical predicate lifting corresponding to the identity transformation 1:  ${}^{\kappa}\mathsf U \to {}^{\kappa}\mathsf U$ , and we denote the induced extension as  ${}^{\kappa}\mathsf U$ . Then, for a  $\mathcal V$ -relation  $r\colon X \to Y$  and for  $\Phi\colon \mathsf Q_{\mathcal V^\kappa}X \to \mathcal V$  and  $\Psi\colon \mathsf Q_{\mathcal V^\kappa}Y \to \mathcal V$ ,

This extension coincides with the one considered by Schubert and Seal [2008] for the classical monotone neighbourhood functor. In particular, it follows that, for the identity  $1_X \colon X \to X$ , the  $\mathcal{V}$ -category  $({}^{\kappa}\mathsf{U} X, \widehat{{}^{\kappa}\mathsf{U}} 1_X)$  is separated.

Remark 4.5. To see that the Kantorovich extension defined by Wild and Schröder [2020] coincides with the one presented here, note that Theorem 4.1 requires  $\mu$  to be monotone, hence we can define  $\mathsf{F}^{\mu}$  with respect to  $\mathcal{V}$ -relations of type  $X \to \kappa$  with the same result, that is,

$$\mathsf{L}^{\mu}r = \bigwedge_{f \colon X \to \kappa} \mu(f - r) - \mu(f).$$

Moreover, in the language of Wild and Schröder [2020], a pair (f,g) of  $\kappa$ -indexed families of maps of type  $X \to \mathcal{V}$  is r-non-expansive precisely when  $g \leq f - r$ , when interpreting f and g as  $\mathcal{V}$ -relations.

The following result explains the distinguished role of the canonical extensions of generalized monotone neighbourhood functors in the process of constructing lax extensions.

**Theorem 4.6.** Let M be a collection of predicate liftings for a functor  $F \colon \mathsf{Set} \to \mathsf{Set}$ . The Kantorovich extension  $\mathsf{L}^M$  is the initial extension of  $\mathsf{F}$  with respect to the cone

$$(\overline{\mu}\colon \mathsf{F} \longrightarrow {}^{\kappa}\mathsf{U})_{\mu \in M}$$

(with  $\overline{\mu}$  as per (2.ii)) and the lax extensions  $\widehat{^{\kappa}U}$  of  $^{\kappa}U$  described in Examples 4.4(5). Also, note that if all predicate liftings in M are even  $\mathcal{V}$ -enriched, then so is  $L^M$ .

*Proof.* Let  $\mu: Q_{\mathcal{V}^{\kappa}} \to Q_{\mathcal{V}} \mathsf{F}$  be a  $\kappa$ -ary predicate lifting in M. Then, for a  $\mathcal{V}$ -relation  $r: X \to Y$  and  $\mathbf{x} \in \mathsf{F}X$  and  $\mathbf{y} \in \mathsf{F}Y$ ,

$$(\mathsf{L}^{\mu})(\mathbf{x}, \mathbf{y}) = \bigwedge_{g \colon Y \to \kappa} \mu(g)(\mathbf{y}) - \mu(g \cdot r)(\mathbf{x})$$
$$= \bigwedge_{g \colon Y \to \kappa} \overline{\mu}(\mathbf{y})(g) - \overline{\mu}(\mathbf{x})(g \cdot r)$$
$$= (\widehat{\kappa} \widehat{\mathsf{U}} r)(\overline{\mu}(\mathbf{x}), \overline{\mu}(\mathbf{y})).$$

That is,  $L^{\mu}$  is the *initial extension* with respect to  $\overline{\mu} \colon F \to {}^{\kappa}U$  and the lax extension  $\widehat{{}^{\kappa}U}$  of  ${}^{\kappa}U$ .

We proceed to collect some properties of Kantorovich extensions. We begin by observing that Kantorovich extensions are compatible with initial extensions along a natural transformation. This property will be particularly useful in Section 5 to generalize Theorem 1.1.

**Proposition 4.7.** Let  $L_F \colon \mathcal{V}\text{-Rel} \to \mathcal{V}\text{-Rel}$  be a lax extension of a functor  $F \colon \mathsf{Set} \to \mathsf{Set}$ , and let  $i \colon \mathsf{G} \to \mathsf{F}$  be a natural transformation. Consider the initial lax extension  $L_i \colon \mathcal{V}\text{-Rel} \to \mathcal{V}\text{-Rel}$  of  $\mathsf{G}$  with respect to  $i \colon \mathsf{G} \to \mathsf{F}$ . If  $L_F$  is Kantorovich w.r.t a class M of monotone predicate liftings, then  $L_i$  is Kantorovich w.r.t to the class of monotone predicate liftings

$$M_i = \{ (Q_{\mathcal{V}}i) \cdot \mu \mid \mu \in M \}.$$

*Proof.* Clearly, every predicate lifting in  $M_i$  is monotone. Now, let  $r: X \to Y$  be a  $\mathcal{V}$ -relation. Then,

$$\mathsf{L}_{i}r = i_{Y}^{\circ} \cdot \mathsf{L}^{M}r \cdot i_{X}$$

$$= \bigwedge_{\mu \in M} \Big( \bigwedge_{g \colon Y \to \mathrm{ar}(\mu)} i_{Y}^{\circ} \cdot (\mu(g) - \mu(g \cdot r)) \cdot i_{X} \Big).$$

Therefore, by Corollary 2.6,

$$L_{i}r = \bigwedge_{\mu \in M} \left( \bigwedge_{g \colon Y \to \operatorname{ar}(\mu)} (\mu(g) \cdot i_{Y}) \to (\mu(g \cdot r) \cdot i_{X}) \right)$$

$$= \bigwedge_{\mu \in M} \left( \bigwedge_{g \colon Y \to \operatorname{ar}(\mu)} ((Q_{\mathcal{V}}i) \cdot \mu(g)) \to ((Q_{\mathcal{V}}i) \cdot \mu(g \cdot r)) \right)$$

$$= \bigwedge_{\mu \in M_{i}} \mathsf{L}^{\mu}r.$$

**Example 4.8.** We recall that an endofunctor on Set is called taut if it preserves inverse images [Manes, 2002]. Every taut functor  $F : Set \rightarrow Set$  admits a natural transformation

supp: 
$$F \longrightarrow U$$

into the monotone neighbourhood functor with X-component

$$\operatorname{supp}_X : \mathsf{F}X \longrightarrow \mathsf{U}X, \quad \mathbf{x} \longmapsto \{A \subseteq X \mid \mathbf{x} \in \mathsf{F}A\}.$$

We note that every  $\sup_X(\mathbf{x})$  is actually a filter [Manes, 2002; Gumm, 2005]. As observed by Schubert and Seal [2008], the op-canonical extension [Seal, 2005] of a taut functor is the initial lift with respect to supp of the extension  $\widehat{\mathsf{U}}$  of the monotone neighbourhood functor  $\mathsf{U} \colon \mathsf{Set} \to \mathsf{Set}$  of Example 4.4(5), that is, the extension induced by the predicate lifting  $\mu$  corresponding to the identity transformation 1:  $\mathsf{U} \to \mathsf{U}$ . Hence, by Proposition 4.7, the op-canonical extension of a taut functor  $\mathsf{F}$  is induced by the predicate lifting

$$Q_{\mathcal{V}} \operatorname{supp} \cdot \mu$$
,

which, by adjunction, corresponds to the natural transformation

$$supp: F \longrightarrow U.$$

The next result entails that we can use the Kantorovich extension to major a lax extension by extracting all predicate liftings induced by the lax extension. This is the first step towards representing lax extensions by collections of predicate liftings.

**Proposition 4.9.** Let L: V-Rel  $\to$  V-Rel be a lax extension, and  $\mu: Q_{V^{\kappa}} \to Q_{V}F$  a predicate lifting induced by L. Then,  $L \leq L^{\mu}$ .

*Proof.* Let  $r: X \to Y$  be a  $\mathcal{V}$ -relation. Then, by (L2),

$$\mathsf{L}^{\mu}(r) = \bigwedge_{g \colon Y \to \kappa} (\mu(1_{\kappa}) \cdot \mathsf{L}(g)) - (\mu(1_{\kappa}) \cdot \mathsf{L}(g \cdot r))$$

$$\geq \bigwedge_{g \colon Y \to \kappa} (\mu(1_{\kappa}) \cdot \mathsf{L}(g)) - (\mu(1_{\kappa}) \cdot \mathsf{L}(g) \cdot \mathsf{L}(r))$$

$$\geq \mathsf{L}(r).$$

Corollary 4.10. Let L: V-Rel  $\to V$ -Rel be a lax extension, and  $\mu^k$ :  $Q_{V^k} \to Q_V F$  a Moss lifting of L. Then,  $L \leq L^{\mu^k}$ .

Notably, as a consequence of the previous results we can use the Kantorovich extension to detect predicate liftings induced by lax extensions.

**Proposition 4.11.** Let  $L: \mathcal{V}\text{-Rel} \to \mathcal{V}\text{-Rel}$  be a lax extension, and  $\mu: Q_{\mathcal{V}^{\kappa}} \to Q_{\mathcal{V}}\mathsf{F}$  a predicate lifting induced by L. Then, the predicate lifting  $\mu$  is induced by  $L^{\mu}$ .

*Proof.* According to Lemma 3.8, it suffices to show  $\mu(ev_{\kappa}) = \mu(1_{\kappa}) \cdot \mathsf{L}^{\mu} ev_{\kappa}$ . First, observe that by Proposition 4.9 and Lemma 3.8((iii)) we have

$$\mu(ev_{\kappa}) = \mu(1_{\kappa}) \cdot \mathsf{L}(ev_{\kappa}) \le \mu(1_{\kappa}) \cdot \mathsf{L}^{\mu}(ev_{\kappa}).$$

Second, note that by definition of  $\mathsf{L}^\mu$  we obtain

$$\mu(1_{\kappa}) \cdot \mathsf{L}^{\mu}(\mathrm{ev}_{\kappa}) \leq \mu(1_{\kappa}) \cdot (\mu(1_{\kappa}) - \mu(\mathrm{ev}_{\kappa})) \leq \mu(\mathrm{ev}_{\kappa}).$$

**Example 4.12.** The predicate lifting  $\Diamond: Q_{\mathcal{V}} \to Q_{\mathcal{V}} P$  of Example 4.4(3) is induced by the lax extension  $\widehat{\mathsf{P}}^{\Diamond}: \mathcal{V}\text{-Rel} \to \mathcal{V}\text{-Rel}$ . With  $k: 1 \to \mathcal{W}$  denoting the function that selects the element  $k \in \mathcal{W}$ , we have

$$\Diamond(f) = k^{\circ} \cdot \widehat{\mathsf{P}}^{\Diamond} f$$

for every V-relation  $f: X \to 1$ .

We already know from Proposition 3.6 that every predicate lifting induced by a lax extension is monotone. The next example shows that the converse statement does not hold.

**Example 4.13.** Let [0,1] denote the quantale consisting of the unit interval equipped with the usual order and multiplication. Consider the unary monotone predicate lifting for the identity functor  $\mu: \mathsf{P}_{[0,1]} \to \mathsf{P}_{[0,1]}$  determined by the map  $\mu(\mathrm{ev}_1): [0,1] \to [0,1]$  defined by

$$\mu(\text{ev}_1)(v) = \begin{cases} 0 \text{ if } v \leq \frac{1}{2}; \\ 1 \text{ otherwise.} \end{cases}$$

Given that [0,1] is an integral quantale and  $\mu$  is a unary predicate lifting, in order to be induced by  $\mathsf{L}^{\mu}$ ,  $\mu$  would need to satisfy the condition

$$\mu(ev_1) \leq \mathsf{L}^{\mu}(ev_1);$$

that is, for every  $g: 1 \rightarrow 1$ ,

$$\mu(\text{ev}_1) \le \mu(g) - \mu(g \cdot \text{ev}_1).$$

However, with  $g = \frac{2}{3}$  and  $v = \frac{3}{4}$ ,

$$\mu(\mathrm{ev}_1)\left(\frac{3}{4}\right) = 1 \not\leq \mu(\mathrm{ev}_1)\left(\frac{2}{3}\right) \to \mu(\mathrm{ev}_1)\left(\frac{1}{2}\right) = 0.$$

Finally, we tackle the problem of recovering a lax extension to V-Rel as the Kantorovich extension w.r.t. some *class* of predicate liftings.

**Definition 4.14.** A lax extension L:  $\mathcal{V}$ -Rel  $\to \mathcal{V}$ -Rel of a functor F: Set  $\to$  Set is *induced* by a class of monotone predicate liftings  $\Lambda$  for F if L is the Kantorovich extension w.r.t.  $\Lambda$ .

**Lemma 4.15.** Let L:  $\mathcal{V}$ -Rel  $\rightarrow \mathcal{V}$ -Rel be a lax extension of a functor F: Set  $\rightarrow$  Set,  $\kappa$  a cardinal, and  $i: Y \rightarrow \kappa$  a function. For every  $\mathbf{y} \in \mathsf{F} Y$  and  $\mathbf{k} = \mathsf{F} i(\mathbf{y})$ , and all  $\mathcal{V}$ -relations  $r: X \rightarrow Y$  and  $s: Z \rightarrow \kappa$ ,

- 1.  $\mu^{\mathbf{k}}(s) = \mathbf{y}^{\circ} \cdot \mathsf{L}(i^{\circ} \cdot s);$
- 2. if i is a monomorphism, then
  - a)  $\mu^{\mathbf{k}}(i \cdot r) = \mathbf{y}^{\circ} \cdot \mathsf{L}r;$

b) 
$$\mu^{\mathbf{k}}(i) - \mu^{\mathbf{k}}(i \cdot r) \leq \mathbf{y}^{\circ} - (\mathbf{y}^{\circ} \cdot \mathsf{L}r).$$

*Proof.* Note that  $\mathbf{k} = \mathsf{F}i(\mathbf{y})$  means  $\mathbf{k} = \mathsf{F}i \cdot \mathbf{y}$ , when considering elements as functions.

- 1.  $\mu^{\mathbf{k}}(s) = \mathbf{k}^{\circ} \cdot \mathsf{L}s = \mathbf{y}^{\circ} \cdot \mathsf{F}i^{\circ} \cdot \mathsf{L}s = \mathbf{y}^{\circ} \cdot \mathsf{L}(i^{\circ} \cdot s).$
- 2. a) Since i is a monomorphism,  $i^{\circ} \cdot i = 1_Y$ . Therefore, the claim follows by applying 1 with  $s = i \cdot r$ .
  - b) Applying 2a, and recalling Proposition 2.4(2) and Condition (L3), yields

$$\mu^{\mathbf{k}}(i) \to \mu^{\mathbf{k}}(i \cdot r) = (\mathbf{y}^{\circ} \cdot \mathsf{L}1_{Y}) \to (\mathbf{y}^{\circ} \cdot \mathsf{L}r)$$

$$\leq \mathbf{y}^{\circ} \to (\mathbf{y}^{\circ} \cdot \mathsf{L}r).$$

**Corollary 4.16.** Let L:  $\mathcal{V}$ -Rel  $\to \mathcal{V}$ -Rel be a lax extension of a functor F: Set  $\to$  Set,  $i: \lambda \to \kappa$  a function between cardinals,  $\boldsymbol{l}$  an element of  $\mathsf{F}\lambda$ , and  $\boldsymbol{k} = \mathsf{F}i(\boldsymbol{l})$ .

- 1.  $\mathsf{L}^{\mu^{\boldsymbol{l}}} \leq \mathsf{L}^{\mu^{\boldsymbol{k}}}$ .
- 2. If i is mono, then  $L^{\mu^{l}} = L^{\mu^{k}}$ .

Lemma 4.15 allows approximating a lax extension with respect to the cardinality of the codomain of V-relations.

**Corollary 4.17.** Let L:  $\mathcal{V}$ -Rel  $\to \mathcal{V}$ -Rel be a lax extension of a functor F: Set  $\to$  Set,  $\kappa$  a cardinal, and Y a set such that  $|Y| \le \kappa$ . Consider the set  $M = \{\mu^k \mid k \in \mathsf{F}\kappa\}$ . Then, for every  $\mathcal{V}$ -relation  $r: X \to Y$ ,  $Lr = \mathsf{L}^M r$ .

Therefore, as the collection of all Moss liftings of a lax extension is not larger than the class of all sets.

**Theorem 4.18.** Every lax extension of a Set-functor to V-Rel is induced by its class of Moss liftings.

From Theorem 4.6 we obtain

**Corollary 4.19.** Every lax extension of a Set-functor to V-Rel is an initial extension with respect to the lax extensions of the functors  ${}^{\kappa}U$ : Set  $\to$  Set (See Theorem 4.6).

From Proposition 3.6, we obtain

Corollary 4.20. Every V-enriched lax extension of a Set-functor to V-Rel is induced by a class of V-enriched predicate liftings.

The next result is a generalization of Theorem 1.2 mentioned in the introduction. Note that, for  $\mathcal{V}=2$  and a lax extension L:  $\mathcal{V}\text{-Rel}\to\mathcal{V}\text{-Rel}$  that preserves converses,  $\mathsf{L}1_X$  is an equivalence relation on X. Hence, L is identity-preserving if and only if the ordered set  $(\mathsf{F}X,\mathsf{L}1_X)$  is antisymmetric.

**Corollary 4.21.** A functor  $F: Set \to Set$  has a separating class of  $\mathcal{V}$ -valued monotone predicate liftings if and only if there is a lax extension  $L: \mathcal{V}$ -Rel  $\to \mathcal{V}$ -Rel such that, for all sets X, the  $\mathcal{V}$ -category  $(FX, L1_X)$  is separated.

*Proof.* Suppose that there is such a lax extension L:  $\mathcal{V}$ -Rel. Let X be a set and  $\mathbf{x}, \mathbf{y} \in \mathsf{F} X$  with  $\mathbf{x} \neq \mathbf{y}$ . By assumption, then have w.l.o.g. that  $k \nleq \mathsf{L} 1_X(\mathbf{x}, \mathbf{y})$ . Hence, by Theorem 4.18, there is a predicate lifting  $\mu \colon \mathsf{Q}_{\mathcal{V}^{\kappa}} \to \mathsf{Q}_{\mathcal{V}} \mathsf{F}$  such that

$$k \nleq {}^{\kappa}\mathsf{U}(\overline{\mu}(\mathbf{x}), \overline{\mu}(\mathbf{y})),$$

and therefore  $\overline{\mu}(\mathbf{x}) \neq \overline{\mu}(\mathbf{y})$  (see Example 4.4(5)). On the other hand, if F has a separating class of monotone predicate liftings, then the Kantorovich extension of F with respect to this class has the desired property by Example 4.4(5).

To conclude this section we note that quantale-valued lax extensions that preserve converses and satisfy the condition of Corollary 4.21 lead to quantale-valued notions of bisimilarity that extend the canonical coalgebraic notion of behavioural equivalence.

**Proposition 4.22.** Let V be a non-trivial quantale, and let  $L: V\text{-Rel} \to V\text{-Rel}$  be a lax extension of a functor  $F: Set \to Set$  that preserves converses and such that, for all sets X, the V-category  $(FX, L1_X)$  is separated. Then two states in an F-coalgebra are behaviourally equivalent if and only if their L-bisimilarity is greater or equal than k.

*Proof.* Consider the lax homomorphisms of quantales  $\phi: 2 \to \mathcal{V}$  defined by  $\phi(0) = \bot$  and  $\phi(1) = k$ , and  $\psi: \mathcal{V} \to 2$  defined by  $\psi(v) = 1$  if  $k \le v$  and  $\psi(v) = 0$ , otherwise. It is well-known that lax homomorphisms of quantales give rive to lax functors between the corresponding categories of  $\mathcal{V}$ -relations (e.g. [Hofmann et al., 2014]). Hence, we obtain lax functors

$$\phi \colon \mathsf{Rel} \to \mathcal{V}\text{-}\mathsf{Rel} \text{ and } \psi \colon \mathcal{V}\text{-}\mathsf{Rel} \to \mathsf{Rel}$$

that act identically on sets and postcompose the lax homomorphisms of quantales with relations (interpreted as maps into the quantales). It is easy to see that if we start with a lax extension  $L\colon \mathcal{V}\text{-Rel}\to \mathcal{V}\text{-Rel}$  of F that satisfies the conditions of the proposition, then, as  $\mathcal{V}$  is non-trivial, we obtain an identity-preserving lax extension  $L_2\colon \mathsf{Rel}\to \mathsf{Rel}$  of F that preserves converses as the composite

Therefore, by Marti and Venema [2015, Theorem 14],  $L_2$ -bisimilarity coincides with behavioural equivalence. Moreover, as L-bisimilarity is itself an L-bisimulation, it follows that two states in an F-coalgebra are  $L_2$ -bisimilar if and only if their L-bisimilarity is greater or equal than k.  $\square$ 

#### 5 Small Lax Extensions

We next discuss the possibility of recovering a lax extension from a set of predicate liftings.

**Definition 5.1.** Let  $\lambda$  be a regular cardinal. A lax extension L:  $\mathcal{V}$ -Rel  $\to$   $\mathcal{V}$ -Rel of a functor F: Set  $\to$  Set is  $\lambda$ -small if it can be obtained as the Kantorovich extension of a set of  $\kappa$ -ary predicate liftings with  $\kappa < \lambda$ . We call L small if it is  $\lambda$ -small for some regular cardinal  $\lambda$ .

We will see next that every lax extension of an accessible functor is small. We recall that, for a regular cardinal  $\lambda$ , a functor  $F \colon \mathsf{Set} \to \mathsf{Set}$  is called  $\lambda$ -accessible if F preserves  $\lambda$ -directed colimits. Furthermore, a functor  $F \colon \mathsf{Set} \to \mathsf{Set}$  is called accessible if F is  $\lambda$ -accessible for some regular cardinal  $\lambda$ .

Clearly, every  $\lambda$ -accessible functor  $F \colon \mathsf{Set} \to \mathsf{Set}$  is  $\lambda$ -bounded, that is, for every set X and every  $\mathbf{x} \in \mathsf{F} X$ , there exists a subset  $m \colon A \to X$  with  $|A| < \lambda$  and  $\mathbf{x}$  is in the image of  $\mathsf{F} m$ . This property is in fact equivalent to accessibility:

**Theorem 5.2.** [Adámek et al., 2019] A functor  $F: Set \rightarrow Set$  is  $\lambda$ -accessible if and only if F is  $\lambda$ -bounded.

An immediate consequence of the result above is an algebraic presentation of accessible functors.

**Theorem 5.3.** [Adámek et al., 2015] Let  $\lambda$  be a regular cardinal and  $F: Set \rightarrow Set$  be a functor. The following assertions are equivalent.

- (i) F is  $\lambda$ -bounded
- (ii) F is a colimit of representable functors hom(X, -) where  $|X| < \lambda$ .
- (iii) There exists a small epi-cocone

$$(\hom(X_i, -) \longrightarrow \mathsf{F})_{i \in I}$$

where, for each  $i \in I$ ,  $|X_i| < \lambda$ .

Remark 5.4. The implication (i)  $\Longrightarrow$  (ii) above can be justified as follows. First recall [MacLane, 1998] that F: Set  $\rightarrow$  Set is a colimit of the (large) diagram

(elements 
$$(X, \mathbf{x})$$
 of  $\mathsf{F})^{\mathrm{op}} \longrightarrow [\mathsf{Set}, \mathsf{Set}].$ 

By (i),

(elements 
$$(X, \mathbf{x})$$
 of F where  $|X| < \lambda$ )<sup>op</sup>  $\longrightarrow$  (elements  $(X, \mathbf{x})$  of F)<sup>op</sup>

is cofinal.

**Proposition 5.5.** Every lax extension of an  $\lambda$ -accessible functor is  $\lambda$ -small. In fact,  $L = L^{M_{\lambda}}$  for

$$M_{\lambda} = \{ all \ \alpha \text{-ary Moss-liftings}, \ \alpha < \lambda \}.$$

*Proof.* Let L:  $\mathcal{V}$ -Rel  $\to \mathcal{V}$ -Rel be a lax extension of an accessible functor F: Set  $\to$  Set. By Theorem 4.18, L = L<sup>M</sup> for the class M of all Moss-liftings. Let  $\kappa \geq \lambda$  be a cardinal and  $\mathbf{k} \in \mathsf{F}\kappa$ . Since F is  $\lambda$ -accessible, there is some cardinal  $\alpha < \lambda$  with inclusion  $i: \alpha \to \kappa$  and some  $\mathbf{a} \in \mathsf{F}\alpha$  with  $\mathsf{F}i(\mathbf{a}) = \mathbf{k}$ . By Corollary 4.16,  $\mathsf{L}^{\mu^{\mathbf{a}}} = \mathsf{L}^{\mu^{\mathbf{k}}}$ . Therefore  $\mathsf{L} = \mathsf{L}^{M_{\lambda}}$ .

**Example 5.6.** Every lax extension of the finite powerset functor can be recovered from a countable set of predicate liftings.

Corollary 5.7. Let  $F: \mathsf{Set} \to \mathsf{Set}$  be a  $\lambda$ -accessible functor with a lax extension  $L: \mathcal{V}\text{-Rel} \to \mathcal{V}$ -Rel such that, for all sets X with  $|X| < \lambda$ , the  $\mathcal{V}$ -category  $(\mathsf{F}X, \mathsf{L}1_X)$  is separated. Then the set

$$M_{\lambda} = \{ all \ \alpha \text{-}ary \ Moss \ liftings, \ \alpha < \lambda \}$$

is separating.

*Proof.* Same as for Corollary 4.21, using Proposition 5.5.

**Proposition 5.8.** Let  $L_F \colon \mathcal{V}\text{-Rel} \to \mathcal{V}\text{-Rel}$  be a lax extension of a functor  $F \colon \mathsf{Set} \to \mathsf{Set}$  and  $i \colon \mathsf{G} \to \mathsf{F}$  be a natural transformation. Furthermore, let  $L_i \colon \mathcal{V}\text{-Rel} \to \mathcal{V}\text{-Rel}$  be the initial lax extension with respect to  $i \colon \mathsf{G} \to \mathsf{F}$ . If  $L_F$  is  $\lambda\text{-small}$ , then  $L_i$  is  $\lambda\text{-small}$ .

*Proof.* Follows from Proposition 4.7.

We can relax slightly the condition of Proposition 5.5 by taking advantage of the structure of V-category.

**Definition 5.9.** Let (X, a) be a  $\mathcal{V}$ -category. A map  $i: A \to X$  is **dense** in (X, a) if  $a = a \cdot i \cdot i^{\circ} \cdot a$ . Remark 5.10. A map  $i: A \to X$  is dense in (X, a) if and only if the image of A is dense in (X, a) with respect to the closure operator  $\overline{(-)}$  on  $\mathcal{V}$ -Cat introduced by Hofmann and Tholen [2010]. We recall that for every  $x \in X$  and  $M \subseteq X$ ,

$$x \in \overline{M} \iff k \leq \bigvee_{z \in M} a(x,z) \otimes a(z,x).$$

This closure operator generalizes the one induced by the usual topology associated with a metric space. In fact, one can show that for categories enriched in a *value quantale*, such as metric spaces, this closure operator coincides with the one induced by the symmetric topology considered by Flagg [1992].

**Definition 5.11.** A natural transformation  $i: G \to F$  between functors  $G, F: Set \to Set$  is called **dense** with respect to a lax extension  $L: \mathcal{V}\text{-Rel} \to \mathcal{V}\text{-Rel}$  of F if, for each set  $X, i_X: GX \to FX$  is dense in the  $\mathcal{V}$ -category  $(FX, L1_X)$ .

The following lemma records that dense maps are compatible with Moss liftings (see Theorem 3.9), in the sense that to determine the action of a  $\kappa$ -ary Moss lifting it suffices to focus on a dense subset of  $(F_{\kappa}, L1_{\kappa})$ .

**Lemma 5.12.** Let  $L: \mathcal{V}\text{-Rel} \to \mathcal{V}\text{-Rel}$  be a lax extension of a functor  $F: \mathsf{Set} \to \mathsf{Set}$ . Furthermore, let  $\varphi: Y \to \kappa$  be a  $\mathcal{V}\text{-relation}$ ,  $\mathbf{k}$  be an element of  $\mathsf{F}\kappa$ , and  $i: A \to \mathsf{F}\kappa$  be a dense map in  $(\mathsf{F}\kappa, \mathsf{L}1_\kappa)$ . Then

$$\mu^{\mathbf{k}}(\varphi) = \mu^{\mathbf{k}}(1_{\kappa}) \cdot i \cdot i^{\circ} \cdot \mathsf{L}(\varphi).$$

**Theorem 5.13.** Let  $i: \mathsf{G} \to \mathsf{F}$  be a natural transformation between functors  $\mathsf{G}, \mathsf{F} \colon \mathsf{Set} \to \mathsf{Set},$  and let  $\mathsf{L} \colon \mathcal{V}\text{-Rel} \to \mathcal{V}\text{-Rel}$  be a lax extension of  $\mathsf{F}$  such that i is dense with respect to  $\mathsf{L}$ . If  $\mathsf{G}$  is  $\lambda$ -accessible, then  $\mathsf{L}$  is  $\lambda$ -small.

*Proof.* Let  $r: X \to \kappa$  be a  $\mathcal{V}$ -relation,  $\mathbf{k} \in \mathsf{F}\kappa$ , and consider  $i_{\kappa}: \mathsf{G}\kappa \to \mathsf{F}\kappa$ . Then, by Lemma 5.12, for all  $r: X \to Y$ ,

Hence, by Propositions 2.4(4) and 2.7,

Now, the claim follows from the fact that G is  $\lambda$ -accessible.

Finally, we obtain a generalization of Theorem 1.1 mentioned in the introduction.

**Corollary 5.14.** Let  $L: \mathcal{V}\text{-Rel} \to \mathcal{V}\text{-Rel}$  be a lax extension. If there is a regular cardinal  $\lambda$  such that the natural transformation  $F_{\lambda} \hookrightarrow F$  is dense, then L is  $\lambda$ -small.

## 6 Conclusions

We have argued that the language of relations is a natural system to express the connection between predicate liftings and lax extensions by showing that it provides a pointfree perspective in which many fundamental notions and results arise naturally and proofs become very elementary. Using this perspective, we were able to remove several technical restrictions that feature centrally in previous approaches which were confined to classical or [0,1]-valued relations and accessible Set-functors (e.g. [Leal, 2008; Marti and Venema, 2015; Wild and Schröder, 2020]). Indeed, our constructions and results are valid for arbitrary Set-functors and lax extensions to categories of quantale-enriched relations. In particular, we have introduced a new way of extracting predicate liftings from a lax extension that is independent of functor presentations, and indeed we provide an intrinsic characterization of predicate liftings that are induced in this sense by a given lax extension. This leads to a very simple description of Moss liftings, which has made it straightforward to show – in quantalic generality – that every lax extension is induced by its class of Moss liftings, and that the role of accessibility is to ensure that it suffices to consider a set of Moss liftings. Consequently, we have obtained the fact that every lax extension of a Set-functor is an initial extension of canonical extensions of generalized monotone neighbourhood functors, as well as a generalization of the fact that the finitary functors that admit an identity-preserving lax extension are precisely the ones that admit a separating set of monotone predicate liftings. Furthermore, we have lifted the result that every finitarily separable [0, 1]-valued lax extension of a Set-functor is induced by a set of predicate liftings [Wild and Schröder, 2020] to quantalic generality. Here, we have avoided restrictions on the quantale that are needed when classical notions of density [Flagg, 1997] are used (like in recent results on quantalic van Benthem and Hennessy-Milner theorems [Wild and Schröder, 2021]), by employing instead a categorical closure operator available on all quantale-enriched categories.

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